

Semantics of Programming Languages

Axiomatic Semantics

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Motivation

- ▶ Developing an axiomatic semantics is difficult
- ▶ **Soundness:**
If a property can be proved then it does indeed hold
 - An unsound inference system is useless
- ▶ **Completeness:**
If a property does hold then it can be proved
 - With an incomplete inference system, a program might be correct, but we cannot prove it

Unsoundness: While Rule

- Why do we need the precondition $\exists Z : \mathbf{V}(Z)$?

$$\frac{\{ \mathcal{B}[[b]] \wedge \mathbf{P} \wedge \mathbf{V}(Z + 1) \} s \{ \Downarrow \mathbf{P} \wedge \mathbf{V}(Z) \}}{\{ \mathbf{P} \} \text{ while } b \text{ do } s \text{ end } \{ \Downarrow \neg \mathcal{B}[[b]] \wedge \mathbf{P} \}} \\ \text{where } Z \in \mathbb{N}$$

- With $\mathbf{V}(Z) \equiv x = Z$, we can derive

$$\frac{\frac{\{ x - 1 = Z \} x := x - 1 \{ \Downarrow x = Z \}}{\{ x \# 0 \wedge x = Z + 1 \} x := x - 1 \{ \Downarrow x = Z \}}}{\{ \text{true} \} \text{ while } x \# 0 \text{ do } x := x - 1 \text{ end } \{ \Downarrow x = 0 \}}$$

- This derivation is not **sound**
- We cannot prove $\exists Z \in \mathbb{N} : \mathbf{V}(Z)$ for $x < 0$

Incompleteness: Procedures

$$\frac{\{ P \} \text{ call } p \{ Q \} \vdash \{ P \} s \{ Q \}}{\{ P \} \text{ call } p \{ Q \}}$$

where p is defined by `proc p is s end`

```
proc p is
  if y > 0 then
    y := y - 1;
    x := x - 1; call p; x := x + 1;
  end
end
```

- We cannot prove

$\{ x = N \} \text{ call } p \{ x = N \} \vdash \{ x = N \} \text{ body}(p) \{ x = N \}$
because the assumption does not match the recursive call

Soundness and Completeness

- ▶ Soundness and completeness can be proved w.r.t. an operational or denotational semantics

The partial correctness assertion $\{ P \}_s \{ Q \}$ is **valid**—written as $\models \{ P \}_s \{ Q \}$ —iff

$$\forall \sigma, \sigma' \in \text{State} : P(\sigma) = tt \wedge \langle s, \sigma \rangle \rightarrow \sigma' \Rightarrow Q(\sigma') = tt$$

- ▶ **Soundness**: $\vdash \{ P \}_s \{ Q \} \Rightarrow \models \{ P \}_s \{ Q \}$
- ▶ **Completeness**: $\models \{ P \}_s \{ Q \} \Rightarrow \vdash \{ P \}_s \{ Q \}$

Theorem

Soundness and completeness theorem

For all partial correctness assertions $\{ P \} s \{ Q \}$
of IMP we have

$$\vdash \{ P \} s \{ Q \} \Leftrightarrow \models \{ P \} s \{ Q \}$$

4. Axiomatic Semantics

4.1 Hoare Logic

4.2 Soundness and Completeness

4.2.1 Proof of Soundness

4.2.2 Proof of Completeness

Soundness Proof

- ▶ We prove $\vdash \{ \mathbf{P} \} s \{ \mathbf{Q} \} \Rightarrow \models \{ \mathbf{P} \} s \{ \mathbf{Q} \}$
- ▶ That is, we have to show

$$\vdash \{ \mathbf{P} \} s \{ \mathbf{Q} \} \wedge \mathbf{P}(\sigma) = tt \wedge \langle s, \sigma \rangle \rightarrow \sigma' \Rightarrow \mathbf{Q}(\sigma') = tt$$

- ▶ The proof runs by induction on the shape of the inference tree for $\vdash \{ \mathbf{P} \} s \{ \mathbf{Q} \}$

Soundness Proof: Base Cases

► Case assign-axiom

- Assume $\langle x := e, \sigma \rangle \rightarrow \sigma'$
- We have to prove $(\mathbf{P}[x \mapsto \mathcal{A}[[e]]])\sigma = tt \Rightarrow \mathbf{P}(\sigma') = tt$
- From the natural semantics, we get
 $\langle x := e, \sigma \rangle \rightarrow \sigma[x \mapsto \mathcal{A}[[e]]\sigma]$
- We have $(\mathbf{P}[x \mapsto \mathcal{A}[[e]]])\sigma = tt \Leftrightarrow \mathbf{P}(\sigma[x \mapsto \mathcal{A}[[e]]\sigma]) = tt$

► Case skip-axiom: Trivial

Soundness Proof: Composition

- ▶ Consider arbitrary states σ and σ'' where $P(\sigma) = tt$ holds and $\langle s_1 ; s_2, \sigma \rangle \rightarrow \sigma''$
- ▶ From the natural semantics, we know that there is a state σ' such that $\langle s_1, \sigma \rangle \rightarrow \sigma'$ and $\langle s_2, \sigma' \rangle \rightarrow \sigma''$
- ▶ From the induction hypothesis, we get $\models \{ P \} s_1 \{ Q \}$ and $\models \{ Q \} s_2 \{ R \}$
- ▶ From $\models \{ P \} s_1 \{ Q \}$, $\langle s_1, \sigma \rangle \rightarrow \sigma'$, and $P(\sigma) = tt$, we get $Q(\sigma') = tt$
- ▶ From $\models \{ Q \} s_2 \{ R \}$, $\langle s_2, \sigma' \rangle \rightarrow \sigma''$, and $Q(\sigma') = tt$, we get $R(\sigma'') = tt$

Soundness Proof: Conditional

- ▶ Case 1: $\mathcal{B}[[b]]\sigma = tt$
 - Consider arbitrary states σ and σ' where $\mathbf{P}(\sigma) = tt$ holds and $\langle \text{if } b \text{ then } s_1 \text{ else } s_2 \text{ end}, \sigma \rangle \rightarrow \sigma'$
 - From the natural semantics, we get $\langle s_1, \sigma \rangle \rightarrow \sigma'$
 - From the induction hypothesis, we get $\models \{ \mathcal{B}[[b]] \wedge \mathbf{P} \} s_1 \{ \mathbf{Q} \}$
 - From $\mathbf{P}(\sigma) = tt$ and $\mathcal{B}[[b]]\sigma = tt$, we get $(\mathcal{B}[[b]] \wedge \mathbf{P})\sigma = tt$
 - From $\models \{ \mathcal{B}[[b]] \wedge \mathbf{P} \} s_1 \{ \mathbf{Q} \}$ and $(\mathcal{B}[[b]] \wedge \mathbf{P})\sigma = tt$, we get $\mathbf{Q}(\sigma') = tt$
- ▶ Case 2: $\mathcal{B}[[b]]\sigma = ff$ is analogous

Soundness Proof: Loop

- We have to prove

$$\begin{aligned} & \vdash \{ \mathbf{P} \} \text{ while } b \text{ do } s \text{ end } \{ \neg \mathcal{B}[[b]] \wedge \mathbf{P} \} \wedge \\ & \mathbf{P}(\sigma) = tt \wedge \langle \text{while } b \text{ do } s \text{ end}, \sigma \rangle \rightarrow \sigma'' \\ & \Rightarrow (\neg \mathcal{B}[[b]] \wedge \mathbf{P})\sigma'' \end{aligned}$$

where σ and σ'' are arbitrary states

- The proof runs by induction on the shape of the derivation tree for $\langle \text{while } b \text{ do } s \text{ end}, \sigma \rangle \rightarrow \sigma''$

Soundness Proof: Loop (cont'd)

► Case 1: $\mathcal{B}[[b]]\sigma = tt$

- From the natural semantics, we get $\langle s, \sigma \rangle \rightarrow \sigma'$ and $\langle \text{while } b \text{ do } s \text{ end}, \sigma' \rangle \rightarrow \sigma''$
- From $\mathbf{P}(\sigma) = tt$ and $\mathcal{B}[[b]]\sigma = tt$, we get $(\mathcal{B}[[b]] \wedge \mathbf{P})\sigma = tt$
- By applying the induction hypothesis of the outer induction to $\models \{ \mathcal{B}[[b]] \wedge \mathbf{P} \} s \{ \mathbf{P} \}$, we get $\mathbf{P}(\sigma') = tt$
- Now we can apply the induction hypothesis of the nested induction to $\langle \text{while } b \text{ do } s \text{ end}, \sigma' \rangle \rightarrow \sigma''$ to get $(\neg \mathcal{B}[[b]] \wedge \mathbf{P})\sigma'' = tt$

► Case 2: $\mathcal{B}[[b]]\sigma = ff$

- From the natural semantics, we get $\sigma = \sigma''$
- $\mathbf{P}(\sigma) = tt$ and $\mathcal{B}[[b]]\sigma = ff$ imply $(\neg \mathcal{B}[[b]] \wedge \mathbf{P})\sigma'' = tt$

Soundness Proof: Consequence

- ▶ Consider arbitrary states σ and σ' where $P(\sigma) = tt$ holds and $\langle s, \sigma \rangle \rightarrow \sigma'$
- ▶ We have $\models \{ P' \} s \{ Q' \}$, $P \Rightarrow P'$, and $Q' \Rightarrow Q$
- ▶ From $P(\sigma) = tt$ and $P \Rightarrow P'$, we get $P'(\sigma) = tt$
- ▶ By applying the induction hypothesis, we get $Q'(\sigma') = tt$
- ▶ From $Q'(\sigma') = tt$ and $Q' \Rightarrow Q$, we get $Q(\sigma') = tt$

4. Axiomatic Semantics

4.1 Hoare Logic

4.2 Soundness and Completeness

4.2.1 Proof of Soundness

4.2.2 Proof of Completeness

Weakest (Liberal) Preconditions

- ▶ The weakest precondition of a statement s and a postcondition Q is the weakest predicate that has to hold in the initial state of an execution of s to guarantee that Q holds in the final state
 - The weakest precondition $wp(s, Q)$ guarantees termination
 - The weakest **liberal** precondition $wlp(s, Q)$ does not guarantee termination

$$\begin{aligned} wp(s, Q)\sigma = tt &\iff \exists \sigma' : (\langle s, \sigma \rangle \rightarrow \sigma' \wedge Q(\sigma')) \\ wlp(s, Q)\sigma = tt &\iff \forall \sigma' : (\langle s, \sigma \rangle \rightarrow \sigma' \Rightarrow Q(\sigma')) \end{aligned}$$

- ▶ In the following, we consider partial correctness

wlp-Lemma

Lemma: For every statement s and predicate Q we have

1. $\models \{ wlp(s, Q) \} s \{ Q \}$
2. $\models \{ P \} s \{ Q \} \Rightarrow (P \Rightarrow wlp(s, Q))$

► Proof 1:

- Let $wlp(s, Q)\sigma = tt$ and $\langle s, \sigma \rangle \rightarrow \sigma'$
- From the definition of wlp , we get $Q(\sigma')$

► Proof 2:

- Let $P(\sigma) = tt$ and $\langle s, \sigma \rangle \rightarrow \sigma'$
- From $\models \{ P \} s \{ Q \}$, we get $Q(\sigma') = tt$
- From the definition of wlp , we get $wlp(s, Q)\sigma'$

Completeness Proof

- ▶ We prove $\models \{ P \} s \{ Q \} \Rightarrow \vdash \{ P \} s \{ Q \}$
- ▶ It suffices to infer $\vdash \{ wlp(s, Q) \} s \{ Q \}$
 - By $\models \{ P \} s \{ Q \}$, the *wlp*-lemma implies $P \Rightarrow wlp(s, Q)$

$$\frac{\{ wlp(s, Q) \} s \{ Q \}}{\{ P \} s \{ Q \}}$$

- ▶ We prove $\vdash \{ wlp(s, Q) \} s \{ Q \}$ by structural induction on s

Completeness Proof: Base Cases

► Case assign-axiom

- From the natural semantics, we get
$$\langle x := e, \sigma \rangle = \sigma[x \mapsto \mathcal{A}[[e]]\sigma]$$
- From the definition of *wlp*, we get
$$wlp(x := e, Q)\sigma \Leftrightarrow Q(\sigma[x \mapsto \mathcal{A}[[e]]\sigma])$$
- Therefore, we get $wlp(x := e, Q) = Q[x \mapsto \mathcal{A}[[e]]]$
- We can infer $\vdash \{ Q[x \mapsto \mathcal{A}[[e]]] \} x := e \{ Q \}$

► Case skip-axiom:

- From the natural semantics, we get $wlp(\text{skip}, Q) = Q$
- We can infer $\vdash \{ Q \} \text{skip} \{ Q \}$

Completeness Proof: Composition

- ▶ By the induction hypothesis, we get
$$\vdash \{ wlp(s_2, Q) \} s_2 \{ Q \} \text{ and}$$
$$\vdash \{ wlp(s_1, wlp(s_2, Q)) \} s_1 \{ wlp(s_2, Q) \}$$
- ▶ We can infer $\vdash \{ wlp(s_1, wlp(s_2, Q)) \} s_1 ; s_2 \{ Q \}$
- ▶ It remains to prove that
$$wlp(s_1 ; s_2, Q) \Rightarrow wlp(s_1, wlp(s_2, Q))$$
- ▶ We assume that $wlp(s_1 ; s_2, Q)\sigma = tt$ and show that
$$wlp(s_1, wlp(s_2, Q))\sigma = tt$$

Completeness Proof: Composition (2)

- ▶ If there is no σ' such that $\langle s_1, \sigma \rangle \rightarrow \sigma'$ then $wlp(s_1, wlp(s_2, Q))\sigma = tt$ follows immediately from the definition of wlp
- ▶ Otherwise, we have to show $wlp(s_2, Q)\sigma' = tt$
- ▶ Again, if there is no σ'' such that $\langle s_2, \sigma' \rangle \rightarrow \sigma''$ then $wlp(s_2, Q)\sigma' = tt$ follows immediately from the definition of wlp
- ▶ Otherwise, we have to show $Q(\sigma'')$
- ▶ $Q(\sigma'')$ follows from $wlp(s_1 ; s_2, Q)\sigma = tt$ and $\langle s_1 ; s_2, \sigma \rangle \rightarrow \sigma''$

Completeness Proof: Conditional

- ▶ By the induction hypothesis, we get
$$\vdash \{ wlp(s_1, Q) \} s_1 \{ Q \} \text{ and }$$
$$\vdash \{ wlp(s_2, Q) \} s_2 \{ Q \}$$
- ▶ Define $P \equiv (\mathcal{B}[[b]] \wedge wlp(s_1, Q)) \vee (\neg \mathcal{B}[[b]] \wedge wlp(s_2, Q))$
- ▶ We have $\mathcal{B}[[b]] \wedge P \Rightarrow wlp(s_1, Q)$ and $\neg \mathcal{B}[[b]] \wedge P \Rightarrow wlp(s_2, Q)$
- ▶ We derive

$$\frac{\frac{\{ wlp(s_1, Q) \} s_1 \{ Q \}}{\{ \mathcal{B}[[b]] \wedge P \} s_1 \{ Q \}} \quad \frac{\{ wlp(s_2, Q) \} s_2 \{ Q \}}{\{ \neg \mathcal{B}[[b]] \wedge P \} s_2 \{ Q \}}}{\{ P \} \text{ if } b \text{ then } s_1 \text{ else } s_2 \text{ end } \{ Q \}}$$

Completeness Proof: Conditional (2)

- We have

$$P \equiv (\mathcal{B}[[b]] \wedge wlp(s_1, Q)) \vee (\neg \mathcal{B}[[b]] \wedge wlp(s_2, Q))$$

- It remains to show that

$$wlp(\text{if } b \text{ then } s_1 \text{ else } s_2 \text{ end}, Q)\sigma = tt \Rightarrow P(\sigma) = tt$$

- Case 1: $\mathcal{B}[[b]]\sigma = tt$

- If there is no σ' such that $\langle s_1, \sigma \rangle \rightarrow \sigma'$ then $wlp(s_1, Q)\sigma = tt$ follows immediately from the definition of wlp
- Otherwise, we have to prove $Q(\sigma')$
- From $wlp(\text{if } b \text{ then } s_1 \text{ else } s_2 \text{ end}, Q)\sigma = tt$ and $\langle \text{if } b \text{ then } s_1 \text{ else } s_2 \text{ end}, \sigma \rangle \rightarrow \sigma'$, we get $Q(\sigma')$

- Case 2: $\mathcal{B}[[b]]\sigma = ff$ is analogous

Completeness Proof: Loop

- ▶ Define $P \equiv wlp(\text{while } b \text{ do } s \text{ end}, Q)$
- ▶ We will prove
 - (1) $(\neg \mathcal{B}[[b]] \wedge P) \Rightarrow Q$
 - (2) $(\mathcal{B}[[b]] \wedge P) \Rightarrow wlp(s, P)$
- ▶ By the induction hypothesis, we get
$$\vdash \{ wlp(s, P) \} s \{ P \}$$
- ▶ From (2), we get $\vdash \{ \mathcal{B}[[b]] \wedge P \} s \{ P \}$
- ▶ By the while rule, we get
$$\vdash \{ P \} \text{while } b \text{ do } s \text{ end} \{ \neg \mathcal{B}[[b]] \wedge P \}$$
- ▶ From (1), we get $\vdash \{ P \} \text{while } b \text{ do } s \text{ end} \{ Q \}$

Completeness Proof: Loop (2)

- ▶ We prove (1): $(\neg \mathcal{B}[[b]] \wedge \mathbf{P}) \Rightarrow \mathbf{Q}$
- ▶ Assume $(\neg \mathcal{B}[[b]] \wedge \mathbf{P})\sigma = tt$
- ▶ Then we have $\langle \text{while } b \text{ do } s \text{ end}, \sigma \rangle = \sigma$
- ▶ By $wlp(\text{while } b \text{ do } s \text{ end}, \mathbf{Q})\sigma = tt$ and the definition of wlp , we get $\mathbf{Q}(\sigma) = tt$

Completeness Proof: Loop (3)

- ▶ We prove (2): $(\mathcal{B}[[b]] \wedge \mathbf{P}) \Rightarrow wlp(s, \mathbf{P})$
- ▶ We assume $(\mathcal{B}[[b]] \wedge \mathbf{P})\sigma = tt$ and show that $wlp(s, \mathbf{P})\sigma = tt$
- ▶ If there is no σ' such that $\langle s, \sigma \rangle \rightarrow \sigma'$ then $wlp(s, \mathbf{P})\sigma = tt$ follows immediately from the definition of wlp
- ▶ Otherwise, we have to show $\mathbf{P}(\sigma') = tt$

Completeness Proof: Loop (4)

- ▶ Case 1: There is no σ'' such that $\langle \text{while } b \text{ do } s \text{ end}, \sigma' \rangle = \sigma''$
 - By the definition of wlp , we get that $wlp(\text{while } b \text{ do } s \text{ end}, Q)\sigma' = tt$ and, thus, $P(\sigma') = tt$
- ▶ Case 2: There is a σ'' such that $\langle \text{while } b \text{ do } s \text{ end}, \sigma' \rangle = \sigma''$
 - From $\langle s, \sigma \rangle \rightarrow \sigma'$ and $\langle \text{while } b \text{ do } s \text{ end}, \sigma' \rangle = \sigma''$, we get $\langle \text{while } b \text{ do } s \text{ end}, \sigma \rangle = \sigma''$
 - By $P(\sigma) = tt$ and $\langle \text{while } b \text{ do } s \text{ end}, \sigma \rangle = \sigma''$, we get $Q(\sigma'') = tt$
 - By $Q(\sigma'') = tt$ and $\langle \text{while } b \text{ do } s \text{ end}, \sigma' \rangle = \sigma''$, we get $wlp(\text{while } b \text{ do } s \text{ end}, Q)\sigma' = tt$ and, thus, $P(\sigma') = tt$

Summary: Axiomatic Semantics

- ▶ Axiomatic semantics
 - expresses **specific properties** of the effect of executing a program
 - Some aspects of the computation may be ignored
- ▶ Axiomatic semantics is used to verify programs
 - Partial correctness
 - Total correctness
 - Other properties, e.g., resource consumption
- ▶ The inference system should be **sound** and **complete**