

# Semantics of Programming Languages

## *Denotational Semantics*

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# 3. Denotational Semantics

3.1 Direct Style Semantics: Specification

3.2 Fixed Point Theory

**3.3 Direct Style Semantics: Existence**

3.4 Equivalence

3.5 Extensions of IMP

# Requirements for Well-Definedness

- ▶ The denotational semantics is well-defined, if the equations for  $\mathcal{S}_{DS}$  define a function
  - Trivial for all equations except for loops

$$\mathcal{S}_{DS}[\text{while } b \text{ do } s \text{ end}] = \text{FIX } F$$

where  $F(g) = \text{cond}(\mathcal{B}[b], g \circ \mathcal{S}_{DS}[s], id)$

- ▶ For loops, we have to show that  $F$  is continuous
  - $(\text{State} \hookrightarrow \text{State}, \sqsubseteq)$  is a ccpo (Lemma 3.4)

# Continuity of $F$

- Observe that

$$F(g) = \text{cond}(\mathcal{B}[\![b]\!], g \circ \mathcal{S}_{DS}[\![s]\!], \text{id}) = F_1(F_2(g))$$

where

- $F_1(g) = \text{cond}(\mathcal{B}[\![b]\!], g, \text{id})$
  - $F_2(g) = g \circ \mathcal{S}_{DS}[\![s]\!]$
- By Lemma 3.7,  $F$  is continuous if  $F_1$  and  $F_2$  are continuous
  - We prove these properties in the following

# Continuity of *cond*

Lemma 3.9:

Let  $g, g_0 : \text{State} \hookrightarrow \text{State}$ ,  $p : \text{State} \rightarrow \text{Bool}$  and define

$$F_1(g) = \text{cond}(p, g, g_0)$$

Then  $F_1$  is continuous.

We prove:

1.  $F_1$  is monotone
2.  $F_1$  preserves least upper bounds

# Proof: Part 1—Monotonicity

- ▶ We have to show that  $g_1 \sqsubseteq g_2 \Rightarrow F_1(g_1) \sqsubseteq F_1(g_2)$   
where  $F_1(g) = \text{cond}(p, g, g_0)$
- ▶ Case 1:  $p(\sigma) = tt$ 
  - We can assume  $g_1(\sigma) = \sigma' \Rightarrow g_2(\sigma) = \sigma'$
  - We get  $F_1(g_1)\sigma = g_1(\sigma)$  and  $F_1(g_2)\sigma = g_2(\sigma)$
  - Therefore,  $F_1(g_1)\sigma = \sigma' \Rightarrow F_1(g_2)\sigma = \sigma'$
- ▶ Case 2:  $p(\sigma) = ff$ 
  - We get  $F_1(g_1) = g_0$  and  $F_1(g_2) = g_0$

# Proof: Part 2—Continuity

- ▶ We have to show that  $F_1(\sqcup Y) = \sqcup\{F_1(g) \mid g \in Y\}$  where  $F_1(g) = \text{cond}(p, g, g_0)$  and  $Y$  is a non-empty chain in  $\text{State} \hookrightarrow \text{State}$
- ▶ From monotonicity of  $F_1$  and Lemma 3.6, we get  $\sqcup\{F_1(g) \mid g \in Y\} \sqsubseteq F_1(\sqcup Y)$
- ▶ By anti-symmetry of  $\sqsubseteq$ , it remains to prove  $F_1(\sqcup Y) \sqsubseteq \sqcup\{F_1(g) \mid g \in Y\}$

# Proof: Part 2—Continuity (cont'd)

## ► Case 1: $p(\sigma) = tt$

- By the definition of  $F_1$ , we get  $F_1(\sqcup Y)\sigma = \sqcup Y(\sigma) = \sigma'$  for some  $\sigma'$
- Therefore, there is a  $g \in Y$  such that  $g(\sigma) = \sigma'$  (Lemma 3.4)
- The definition of  $F_1$  gives  $\sqcup\{F_1(g)|g \in Y\}\sigma = \sqcup\{g|g \in Y\}\sigma$
- $g(\sigma) = \sigma'$  implies  $\sqcup\{g|g \in Y\}\sigma = \sigma'$  since the least upper bound summarizes all information

## ► Case 2: $p(\sigma) = ff$

- Let  $F_1(\sqcup Y)\sigma = g_0(\sigma) = \sigma'$
- For every  $g \in Y$  we get  $F_1(g)\sigma = g_0(\sigma) = \sigma'$
- Since the least upper bound summarizes all information, we get  $\sqcup\{F_1(g)|g \in Y\}\sigma = \sigma'$



# Continuity of $\circ$

Lemma 3.10:

Let  $g_0 : \text{State} \hookrightarrow \text{State}$  and define

$$F_2(g) = g \circ g_0$$

Then  $F_2$  is continuous.

We prove:

1.  $F_2$  is monotone
2.  $F_2$  preserves least upper bounds

# Proof: Part 1—Monotonicity

- ▶ We have to show that  $g_1 \sqsubseteq g_2 \Rightarrow F_2(g_1) \sqsubseteq F_2(g_2)$   
where  $F_2(g) = g \circ g_0$
- ▶ We can assume  $g_1(\sigma) = \sigma' \Rightarrow g_2(\sigma) = \sigma'$
- ▶ Let  $F_2(g_1)\sigma_0 = g_1(g_0(\sigma_0)) = \sigma'$
- ▶ There is a  $\sigma$  such that  $g_0(\sigma_0) = \sigma$  and  $g_1(\sigma) = \sigma'$
- ▶ Consequently,  $F_2(g_2)\sigma_0 = g_2(g_0(\sigma_0)) = g_2(\sigma) = \sigma'$

# Proof: Part 2—Continuity

- ▶ Like for *cond*, we have to show that  $F_2(\sqcup Y) \sqsubseteq \sqcup \{F_2(g) \mid g \in Y\}$  where  $F_2(g) = g \circ g_0$  and  $Y$  is a non-empty chain in  $\text{State} \hookrightarrow \text{State}$

$$F_2(\sqcup Y)\sigma = \sigma' \Rightarrow \quad [\text{Definition of } F_2]$$

$$\sqcup Y(g_0(\sigma)) = \sigma' \Rightarrow \quad [\text{Lemma 3.4}]$$

$$\exists g' \in Y : g'(g_0(\sigma)) = \sigma' \Rightarrow \quad [\text{Definition of } F_2]$$

$$\exists g' \in Y : F_2(g')\sigma = \sigma' \Rightarrow$$

$$\exists g'' \in \{F_2(g) \mid g \in Y\} : g''(\sigma) = \sigma' \Rightarrow \quad [\text{Lemma 3.4}]$$

$$\sqcup \{F_2(g) \mid g \in Y\}\sigma = \sigma'$$

# Well-Definedness

Theorem 3.11:

The semantic equations for the denotational semantics define a total function  $\mathcal{S}_{DS}$  in  $\text{Stm} \rightarrow (\text{State} \hookrightarrow \text{State})$

The proof runs by structural induction on the statement

# Proof of Well-Definedness

Induction Base:

- ▶ Case `skip`: The function  $id$  is well-defined
- ▶ Case  $x := e$ : A function that maps  $\sigma$  to  $\sigma[x \mapsto \mathcal{A}[[e]]\sigma]$  is well-defined

Induction Step:

- ▶ Case  $s_1 ; s_2$ :
  - By the induction hypothesis,  $\mathcal{S}_{DS}[[s_1]]$  and  $\mathcal{S}_{DS}[[s_2]]$  are well-defined
  - The composition of two well-defined functions is well-defined

# Proof of Well-Definedness (cont'd)

- ▶ Case `if b then s1 else s2 end`:
  - By the induction hypothesis,  $\mathcal{S}_{DS}[[s_1]]$  and  $\mathcal{S}_{DS}[[s_2]]$  are well-defined
  - *cond* preserves well-definedness
- ▶ Case `while b do s end`:
  - By the induction hypothesis,  $\mathcal{S}_{DS}[[s]]$  is well-defined
  - $F(g) = F_1(F_2(g))$  where  $F_1(g) = \text{cond}(\mathcal{B}[[b]], g, id)$  and  $F_2(g) = g \circ \mathcal{S}_{DS}[[s]]$
  - $F_1$  and  $F_2$  are continuous (Lemmas 3.9 and 3.10)
  - Lemma 3.7 gives that  $F$  is continuous
  - Theorem 3.8 gives that  $FIX F$  is well-defined

# Example

- The denotational semantics of the factorial statement

$$\mathcal{S}_{DS} \llbracket y := 1 ; \text{while } x \# 1 \text{ do } y := y * x ; x := x - 1 \text{ end} \rrbracket$$

$$F(g)\sigma = \begin{cases} g(\mathcal{S}_{DS} \llbracket y := y * x ; x := x - 1 \rrbracket) & \text{if } \mathcal{B} \llbracket x \# 1 \rrbracket \sigma = tt \\ \sigma & \text{if } \mathcal{B} \llbracket x \# 1 \rrbracket \sigma = ff \end{cases}$$

$$F(g)\sigma = \begin{cases} g(\sigma[y \mapsto \sigma(y) * \sigma(x)] [x \mapsto \sigma(x) - 1]) & \text{if } \sigma(x) \neq 1 \\ \sigma & \text{if } \sigma(x) = 1 \end{cases}$$

# Example: Fixed Point Iteration

$$F^0(\perp)\sigma = \text{undefined}$$

$$F^1(\perp)\sigma = \begin{cases} \text{undefined} & \text{if } \sigma(\mathbf{x}) \neq 1 \\ \sigma & \text{if } \sigma(\mathbf{x}) = 1 \end{cases}$$

$$F^2(\perp)\sigma = \begin{cases} \text{undefined} & \text{if } \sigma(\mathbf{x}) \neq 1 \wedge \sigma(\mathbf{x}) \neq 2 \\ \sigma[y \mapsto \sigma(y) * 2][\mathbf{x} \mapsto 1] & \text{if } \sigma(\mathbf{x}) = 2 \\ \sigma & \text{if } \sigma(\mathbf{x}) = 1 \end{cases}$$

- ▶ If  $\mathbf{x}$  is 1 or 2,  $F^2$  gives the correct value for  $y$
- ▶ For all other values,  $F^2$  is undefined



# Pattern of Fixed Point Iteration

- $F^n$  determines the correct value if it can be computed with at most  $n$  unfoldings of the loop

$$F^n(\perp)\sigma = \begin{cases} \text{undefined} & \text{if } \sigma(\mathbf{x}) < 1 \vee \sigma(\mathbf{x}) > n \\ \sigma[Y \mapsto \sigma(Y) * j * \dots * 2 * 1][\mathbf{x} \mapsto 1] & \text{if } \sigma(\mathbf{x}) = j \wedge 1 \leq j \leq n \end{cases}$$

- Then we have

$$(FIX F)\sigma = \begin{cases} \text{undefined} & \text{if } \sigma(\mathbf{x}) < 1 \\ \sigma[Y \mapsto \sigma(Y) * n * \dots * 2 * 1][\mathbf{x} \mapsto 1] & \text{if } \sigma(\mathbf{x}) = n \wedge n \geq 1 \end{cases}$$

# Example (cont'd)

- ▶ We apply the semantics of the factorial statement to a state  $\sigma_0$  where  $x$  has the value 3.
- ▶ We have to compute  $FIXF(\sigma_0[y \mapsto 1])$

$$(FIXF)\sigma = \begin{cases} \text{undefined} & \text{if } \sigma(x) < 1 \\ \sigma[y \mapsto \sigma(y) * n * \dots * 2 * 1][x \mapsto 1] & \text{if } \sigma(x) = n \wedge n \geq 1 \end{cases}$$

- ▶ In the final state, we get  $\sigma[y \mapsto 3 * 2 * 1][x \mapsto 1]$

# Well-Definedness: Summary

Well-definedness of  $\mathcal{S}_{DS}$  relies on the following results

1. The set  $\text{State} \hookrightarrow \text{State}$  equipped with an appropriate order  $\sqsubseteq$  is a ccpo (Lemmas 3.2 and 3.4)
2. Certain functions

$$\Psi : (\text{State} \hookrightarrow \text{State}) \rightarrow (\text{State} \hookrightarrow \text{State})$$

are continuous (Lemmas 3.9 and 3.10)

3. In the definition of  $\mathcal{S}_{DS}$  we only apply the fixed point operation to continuous functions (Theorem 3.11)