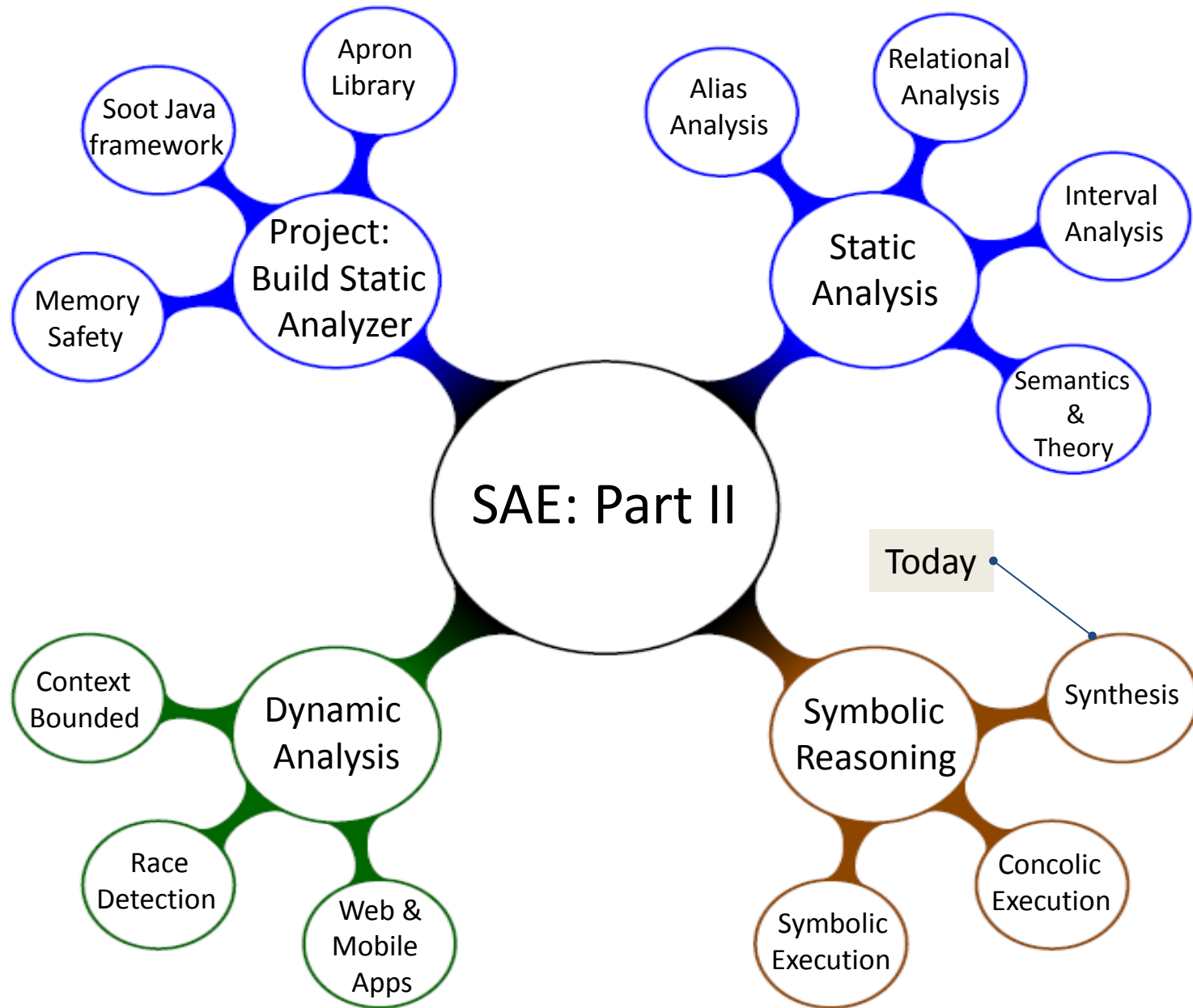


# Software Architecture and Engineering: Part II

ETH Zurich, Spring 2014  
Prof. Martin Vechev



# Setting

The general case is as follows: given a program **P** and a specification **S**, does program **P** satisfy specification **S**?

**P**  $\models$  ? **S**

# Setting

if program **P** is **infinite-state**, and we want to answer the question **automatically**, we need to over-approximate **P**

**P**  $\stackrel{?}{\models}$  **S**

# Setting

to over-approximate  $P$ , we need an abstraction  $\alpha$

$P \stackrel{?}{\models} S$

# Setting

given a program  $P$ , a specification  $S$ , and an abstraction  $\alpha$ ,  
does program  $P$  satisfy specification  $S$ ?

$$P_{\alpha} \stackrel{?}{\models} S$$

# Setting

a basic question in program analysis

$$P_{\alpha} \stackrel{?}{=} S$$

# Setting

but what if  $P$  does not satisfy  $S$  under abstraction  $\alpha$ ?

$$P_{\alpha} \not\models S$$



# Setting

refine abstraction  $\alpha$  to a new abstraction  $\alpha'$

$$P_{\alpha'} \models S$$

# Setting

modify program  $P$  to a new program  $P'$   
how?

$$P'_{\alpha} \models S$$

# Setting

weaken specification  $S$  to  $S'$   
unclear to what, **true** is also a solution

$$P_\alpha \models S'$$

# Setting

$$P \models^? S$$

add abstraction (for automation)

$$P_\alpha \models S$$

refine abstraction

modify program

weaken specification

$$P_{\alpha'} \models S$$

$$P'_\alpha \models S$$

$$P_\alpha \models S'$$

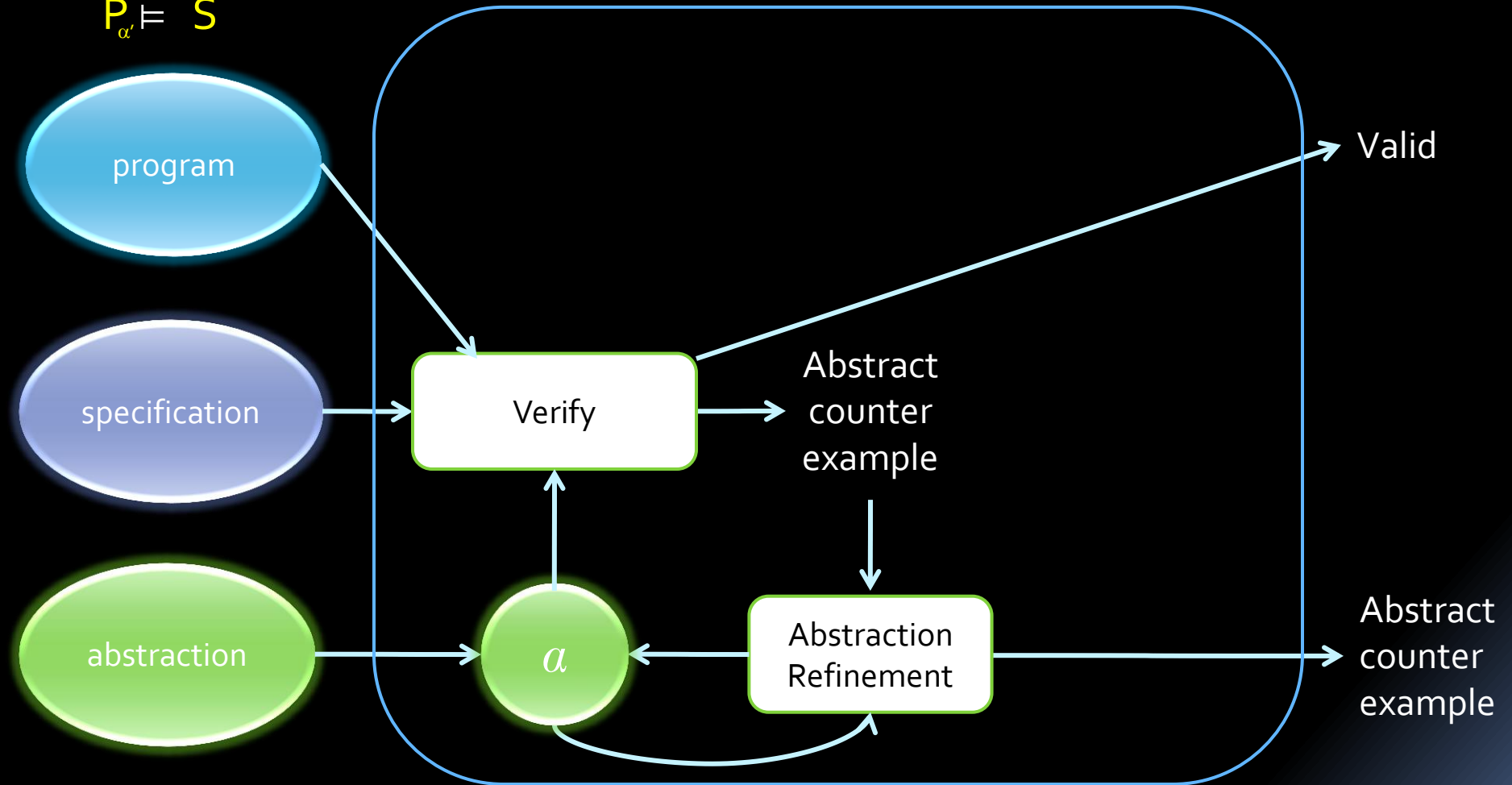
can also combine steps

# Refine Abstraction

$P_\alpha \not\models S$



$P_{\alpha'} \models S$

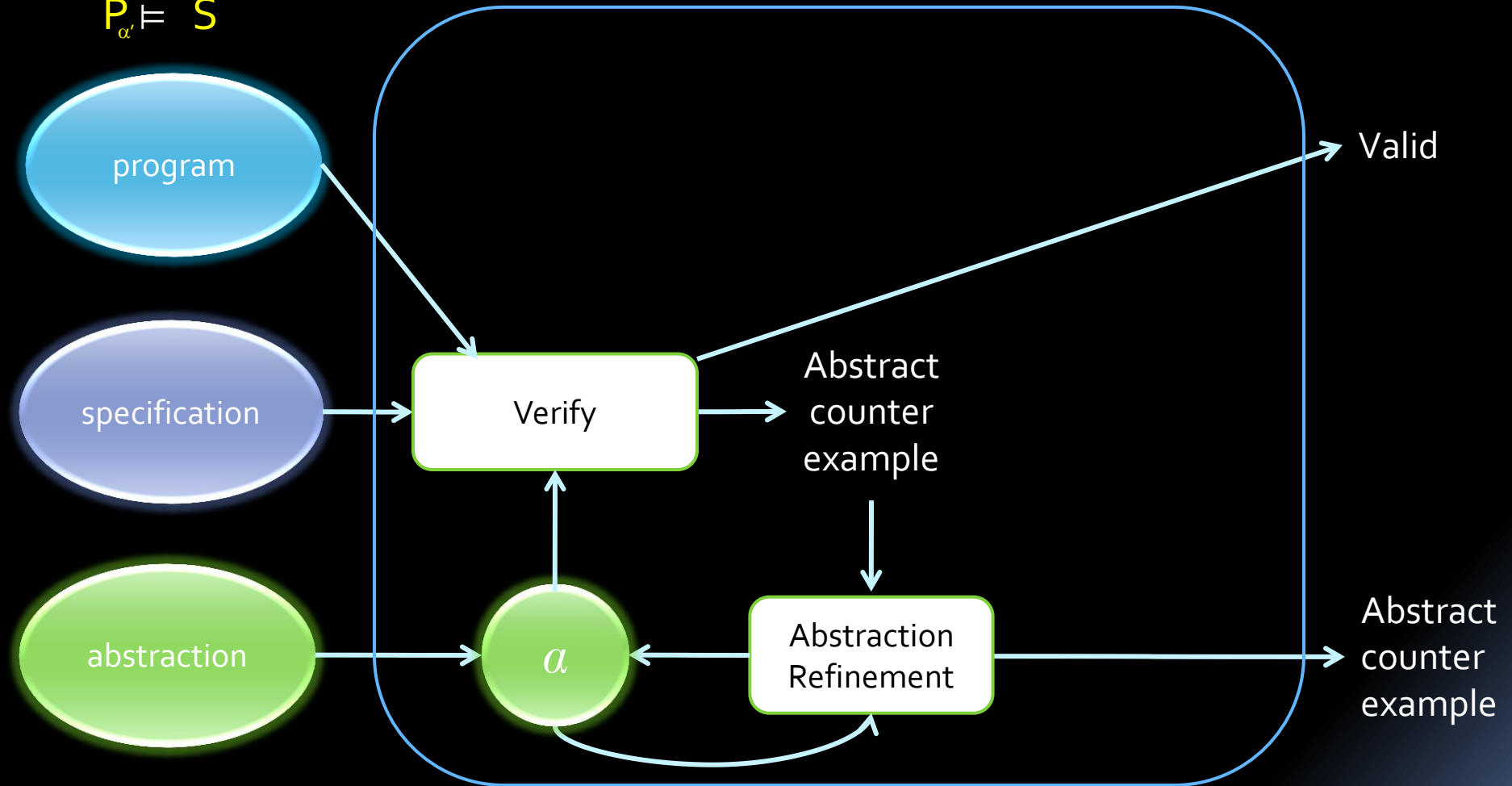


# Refine Abstraction

$P_\alpha \not\models S$



$P_{\alpha'} \models S$



Change the **abstraction** to match the **program**

# Refine Abstraction or Repair Program

$P_\alpha \not\models S$

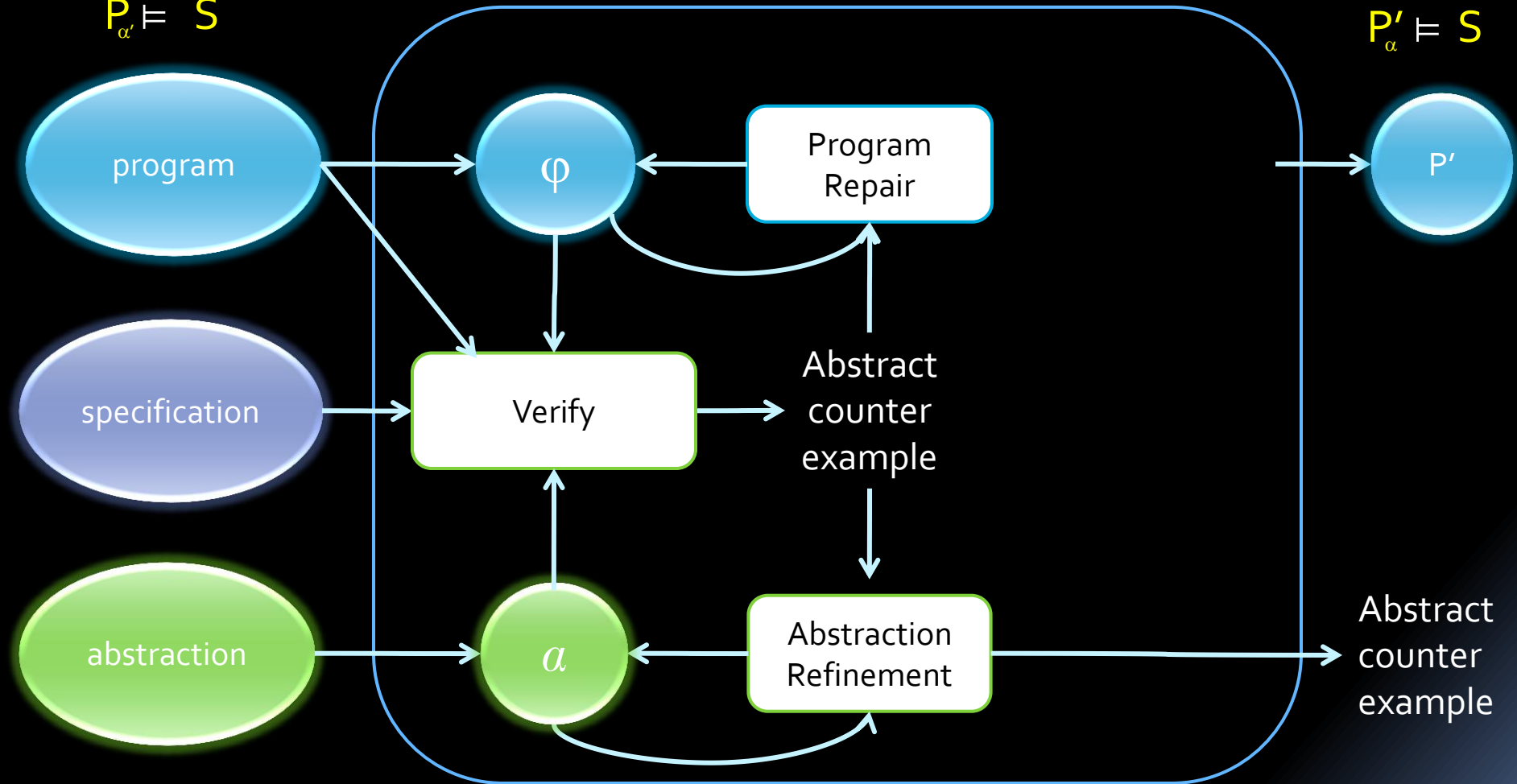


$P_{\alpha'} \models S$

$P_\alpha \not\models S$



$P'_\alpha \models S$



# Refine Abstraction or Repair Program

$P_\alpha \not\models S$

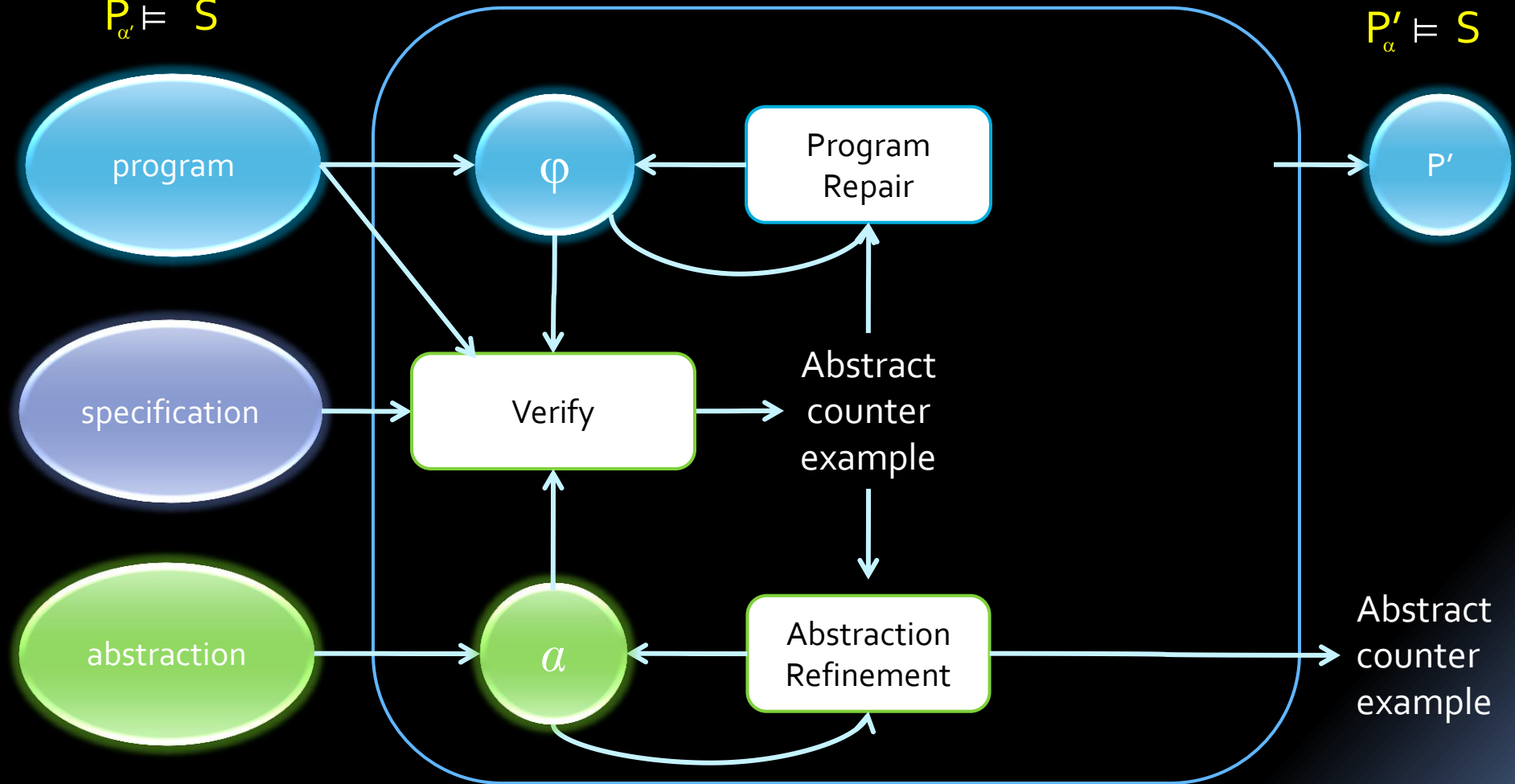


$P_{\alpha'} \models S$

$P_\alpha \not\models S$



$P'_\alpha \models S$



Change the **program** to match the **abstraction**



# Refine Abstraction or Repair Program

$P_\alpha \not\models S$

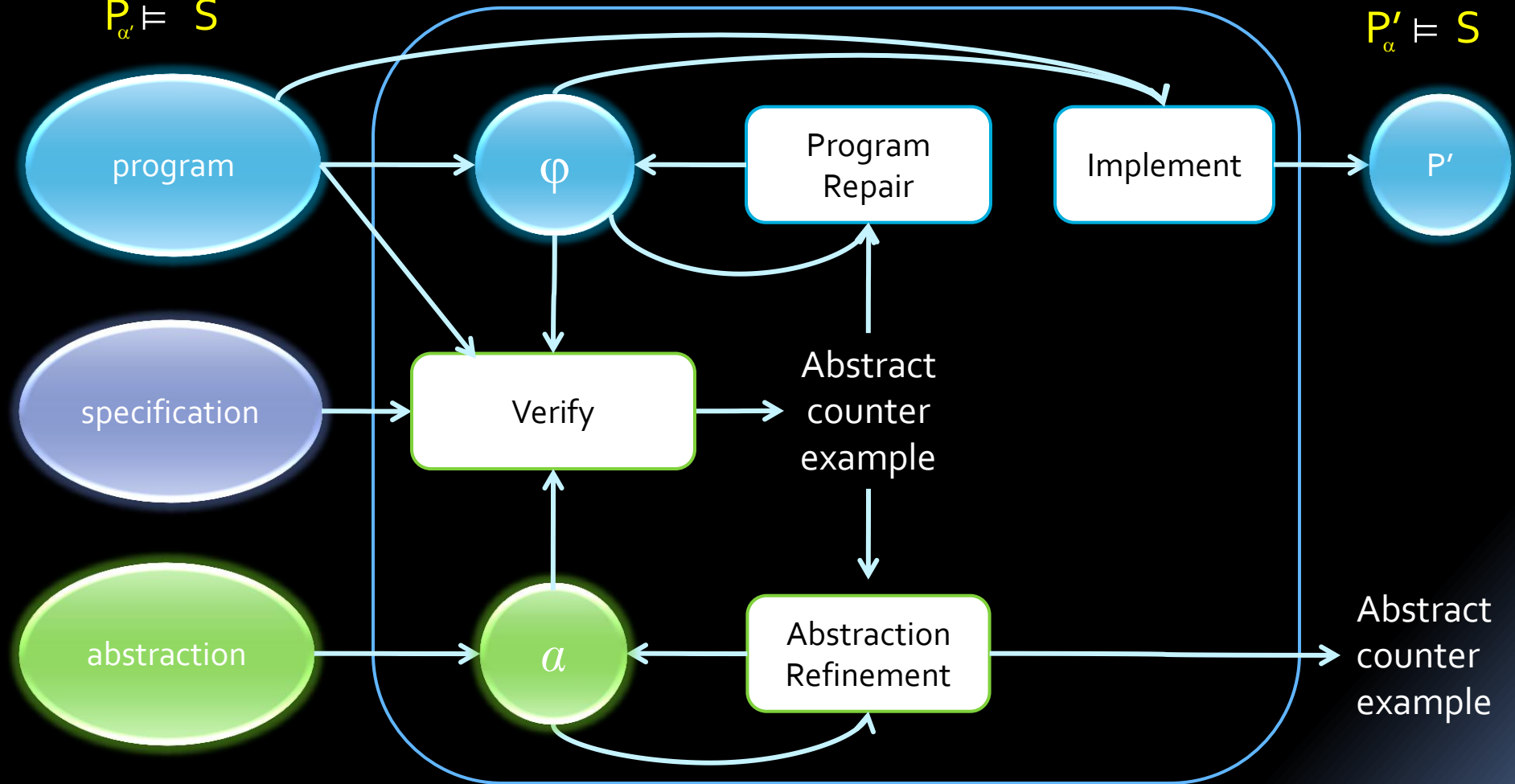


$P_{\alpha'} \models S$

$P_\alpha \not\models S$



$P'_\alpha \models S$



Change the **program** to match the **abstraction**

# Instantiate for Concurrency

$P_\alpha \not\models S$

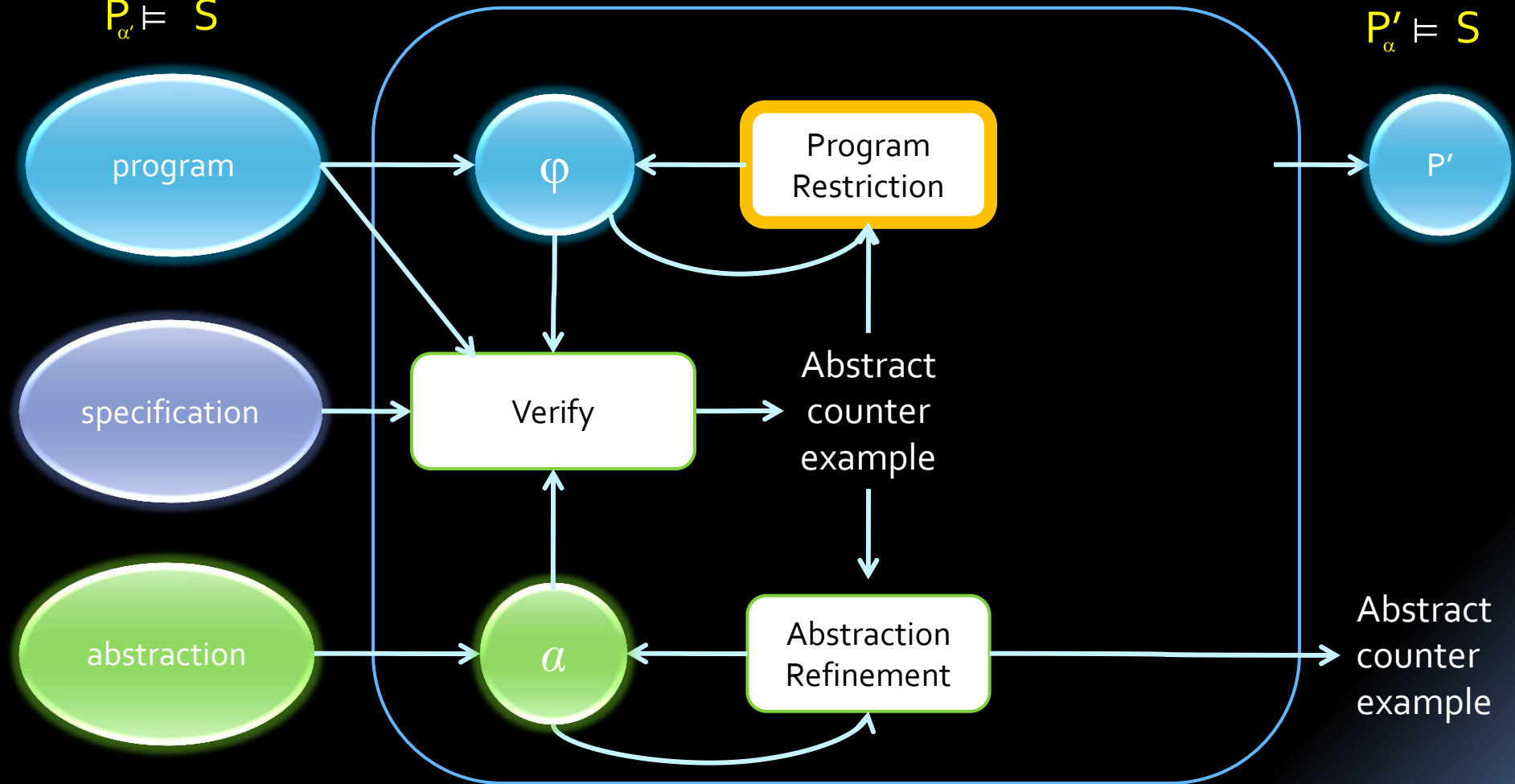


$P_{\alpha'} \models S$

$P_\alpha \not\models S$



$P'_\alpha \models S$



# Instantiate for Concurrency

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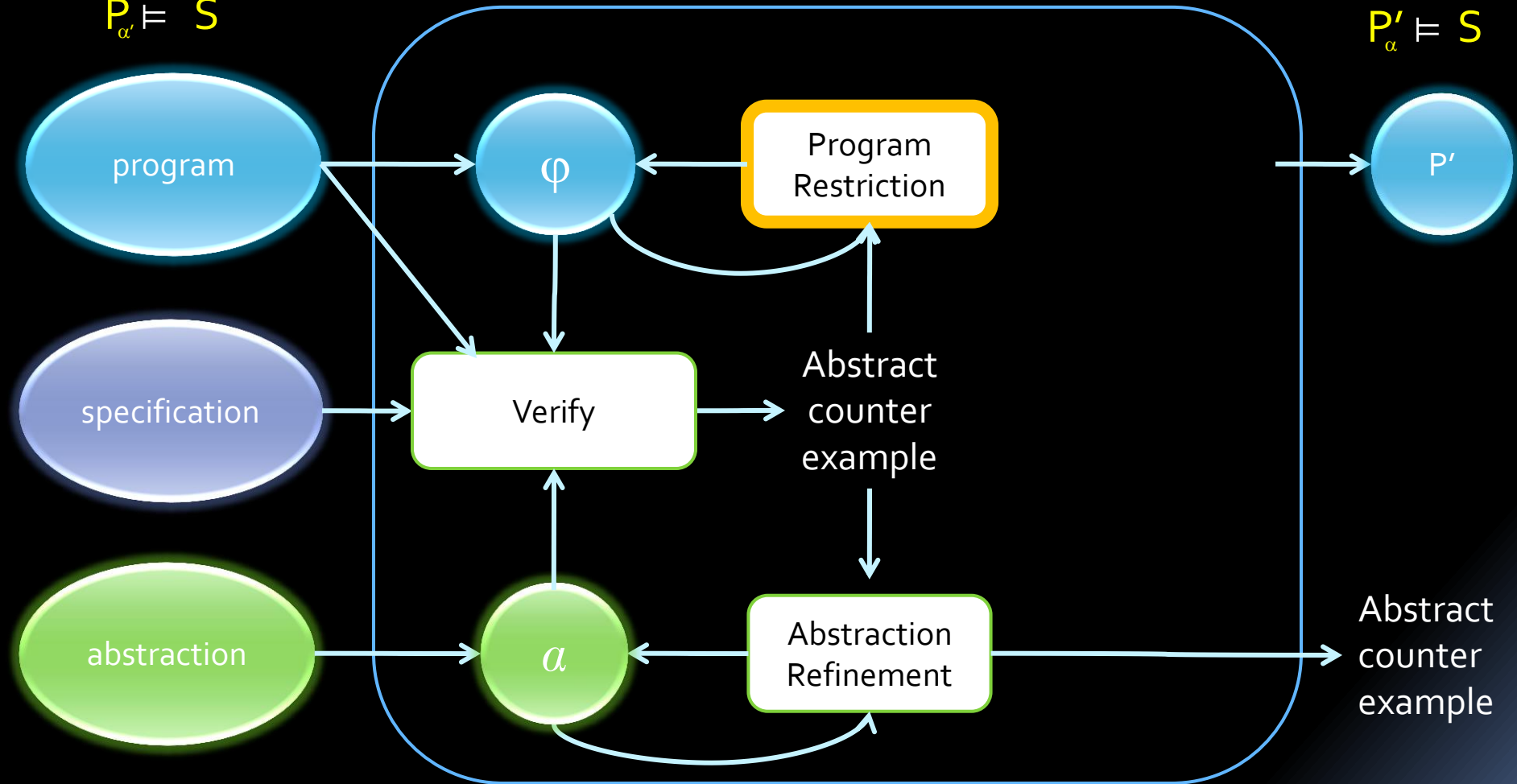


$P_{\alpha'} \models S$

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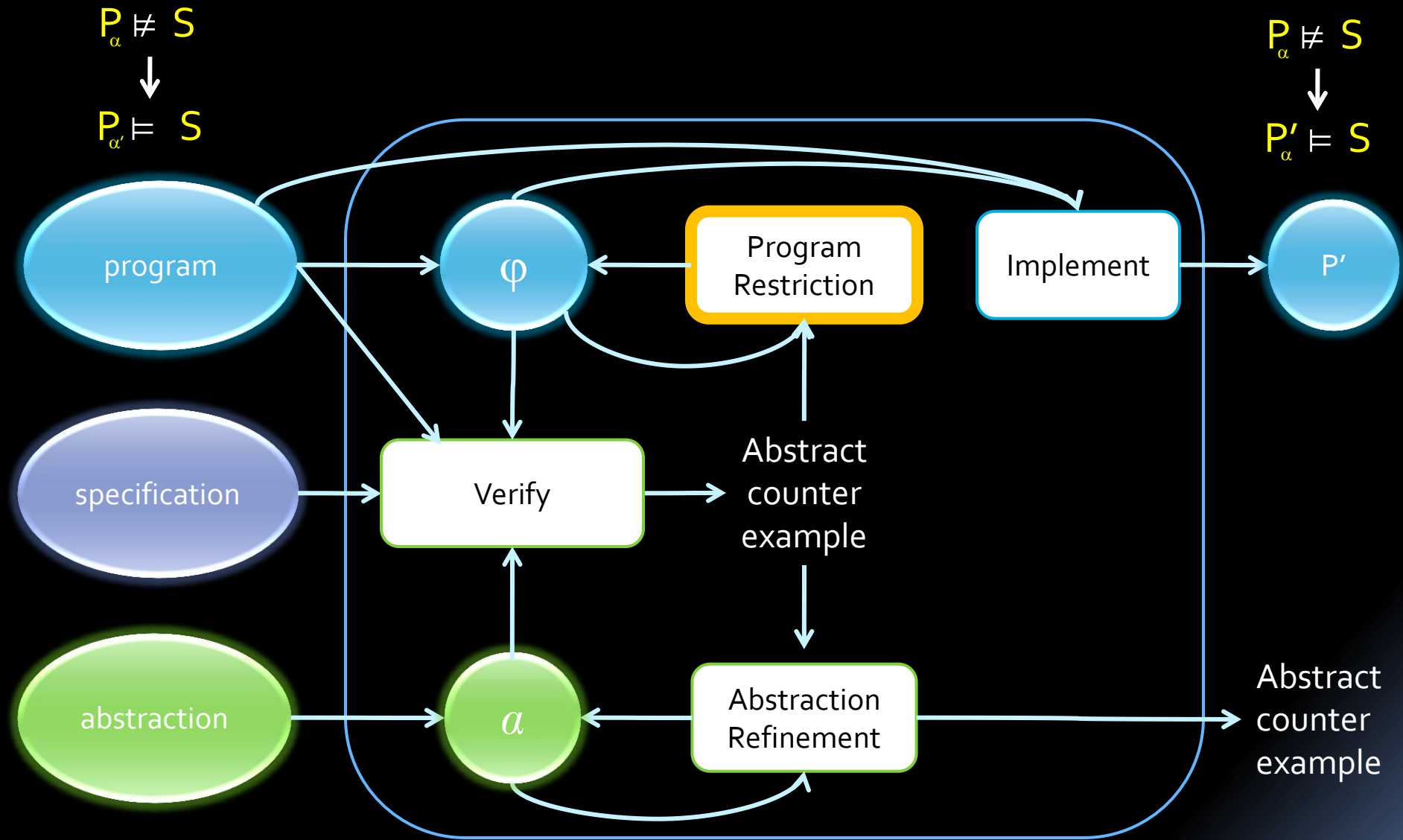


$P'_\alpha \models S$



Change the **program** to match the **abstraction**

# Instantiate for Concurrency



Change the **program** to match the **abstraction**

# Instantiate for Concurrency

Restrict the program by introducing synchronization

How to synchronize processes to achieve  
correctness and efficiency?

# Synchronization Primitives

- Atomic sections
- Conditional critical region (CCR)
- Memory barriers (fences)
- CAS
- Semaphores
- Monitors
- Locks
- ....

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- Semaphores
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- Locks
- ....

# Example: Correct and Efficient Synchronization with Atomic Sections



# Example: Correct and Efficient Synchronization with Atomic Sections

**P1()**

{

.....

.....

..... •

..... •

.....

}

**P2()**

{

.....

..... •

...

}

**P3()**

{

..... • •

.....

..... •

.....

.....

}

# Example: Correct and Efficient Synchronization with Atomic Sections

**P1()**

{

.....

.....

..... •

..... •

.....

}

**P2()**

{

.....

..... •

...

}

**P3()**

{

..... • •

.....

..... •

.....

.....

}

Safety Specification: S

# Example: Correct and Efficient Synchronization with Atomic Sections

**P1()**

```
{
  atomic [
    .....
    .....
    .....
    .....
    .....
  ]
}
```

**P2()**

```
{
  atomic [
    .....
    .....
    .....
  ]
}
```

**P3()**

```
{
  atomic [
    .....
    .....
    .....
    .....
    .....
  ]
}
```

Safety Specification: S

# Example: Correct and Efficient Synchronization with Atomic Sections

**P1()**

```
{  
    .....  
    .....  
    [ .....  
    .....  
    .....  
    .....  
    .....  
    .....  
    .....  
    .....  
}
```

**P2()**

```
{  
    [ .....  
    .....  
    .....  
    .....  
    .....  
    .....  
    .....  
    .....  
    .....  
    .....  
}
```

**P3()**

```
{  
    [ .....  
    .....  
    .....  
    .....  
    .....  
    .....  
    .....  
    .....  
    .....  
    .....  
    .....  
}
```

Safety Specification: S

# Example: Correct and Efficient Synchronization with Atomic Sections

**P1()**

```
{  
  [ .....  
    .....  
    .....  
  .....  
  .....  
}
```

**P2()**

```
{  
  [ .....  
    .....  
    ...  
}
```

**P3()**

```
{  
  .....  
  [ .....  
    .....  
    .....  
    .....  
  }  
}
```

Safety Specification: S

# Example: Correct and Efficient Synchronization with Atomic Sections

**P1()**

{

.....

.....

[ .....  
.....  
.....

}

**P2()**

{

.....

[ .....  
.....

}

**P3()**

{

.....

.....

[ .....  
.....  
.....

}

Safety Specification: S

# Example: Correct and Efficient Synchronization with Atomic Sections

**P1()**

{

.....

.....

..... •

..... •

.....

}

**P2()**

{

.....

..... •

...

}

**P3()**

{

..... • •

.....

..... •

.....

.....

}

Safety Specification: S

# Example: Correct and Efficient Synchronization with Atomic Sections

**P1()**

{

.....

.....

..... •

..... •

.....

}

**P2()**

{

.....

..... •

...

}

**P3()**

{

..... • •

.....

..... •

.....

.....

}

Safety Specification: S

Assist the programmer by automatically inferring  
**correct and efficient synchronization**



# Challenge

- Find **minimal synchronization** that makes the program satisfy the specification
  - Avoid all bad interleavings while permitting as many good interleavings as possible
- Assumption: we can prove that serial executions satisfy the specification
  - **Interested in bad behaviors due to concurrency**
- Handle infinite-state programs

# Abstraction-Guided Synthesis of Synchronization

- Synthesis of synchronization via **abstract interpretation**
  - Compute **over-approximation** of all possible program executions
  - Add **minimal synchronization** to avoid (over-approximation of) bad interleavings
- **Interplay between abstraction and synchronization**
  - Finer abstraction may enable finer synchronization
  - Coarse synchronization may enable coarser abstraction

# Instantiate for Concurrency

$P_\alpha \not\models S$

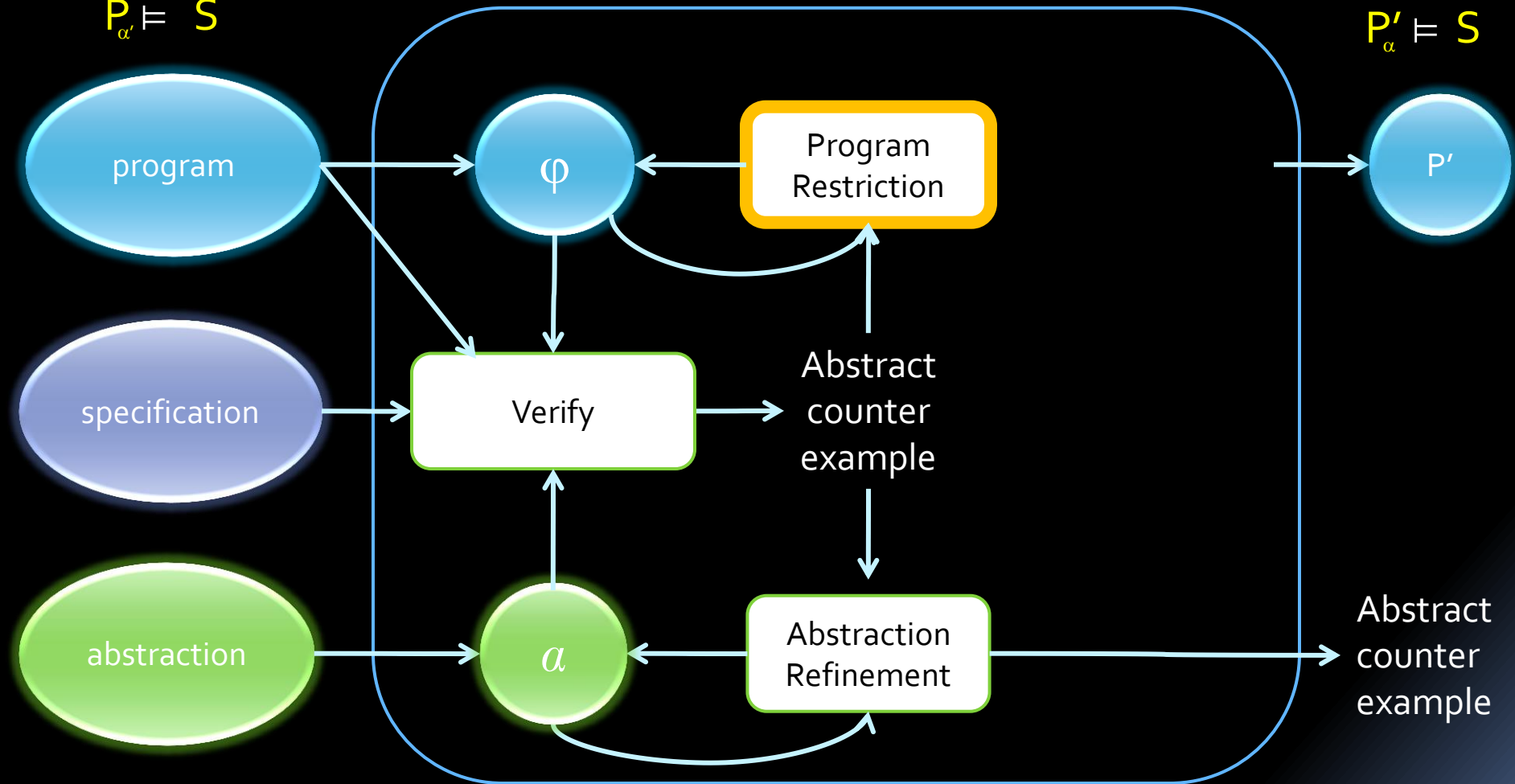


$P_{\alpha'} \models S$

$P_\alpha \not\models S$



$P'_\alpha \models S$



# Instantiate for Concurrency

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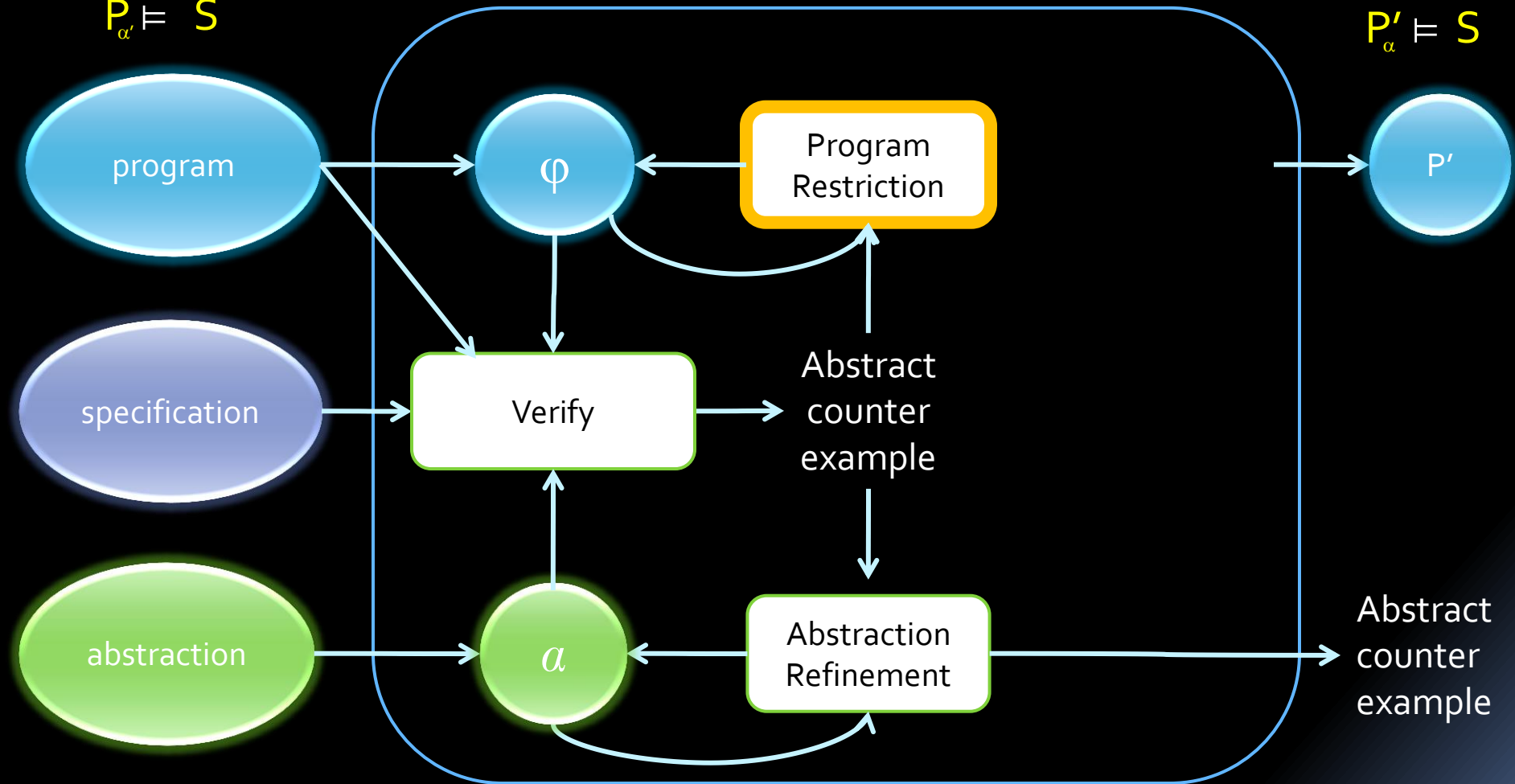


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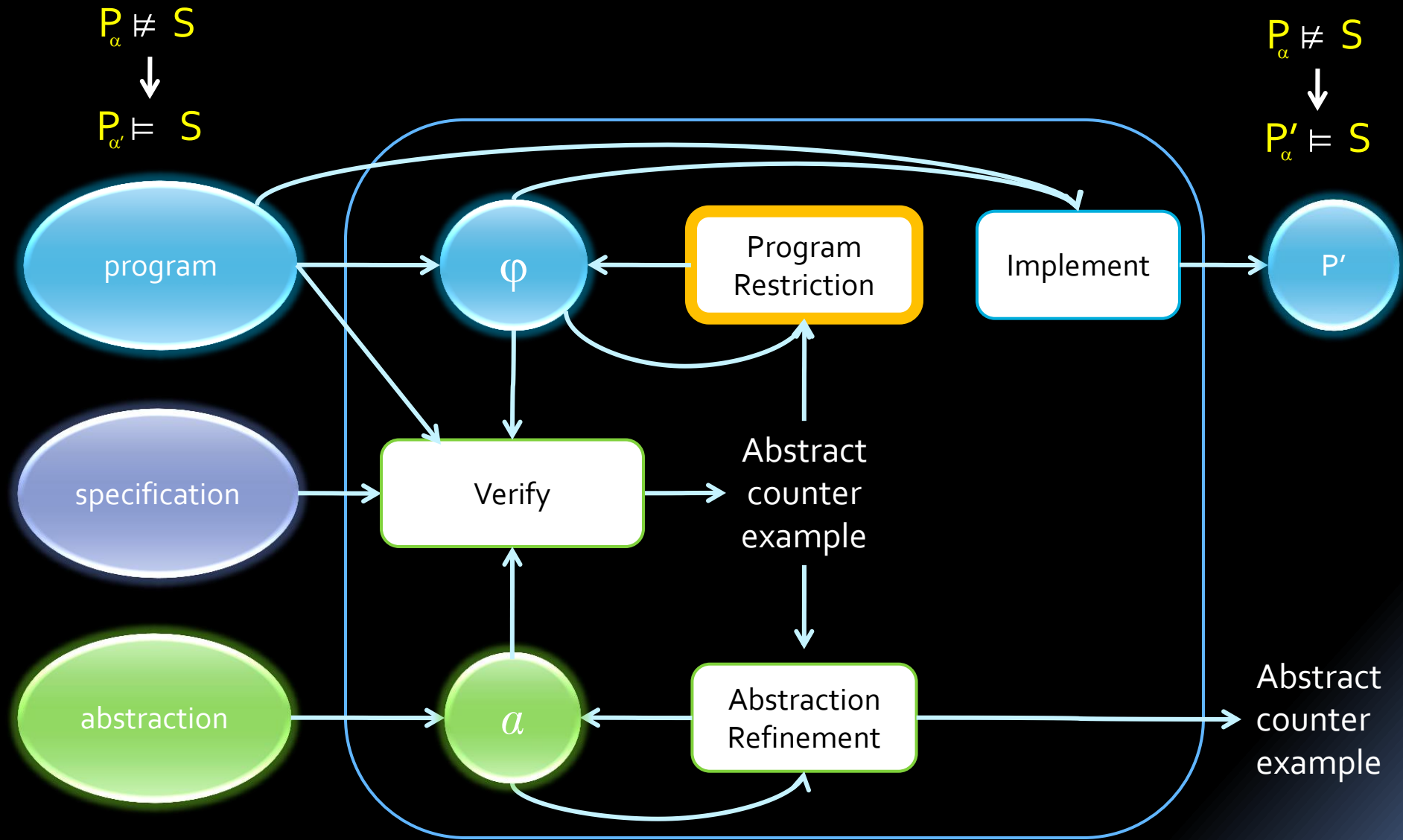


$P'_\alpha \models S$



Change the **program** to match the **abstraction**

# Instantiate for Concurrency



Change the **program** to match the **abstraction**

# AGS Algorithm – High Level

**Input:** Program  $P$ , Specification  $S$ , Abstraction  $a$

**Output:** Program  $P'$  satisfying  $S$  under  $a$

```
φ = true
while(true) {

    BadTraces = {π | π ∈ ([[P]]a ∩ [[φ]]) and π ⊈ S }
    if (BadTraces is empty) return implement(P, φ)
    select π ∈ BadTraces
    if (?) {
        ψ = avoid(π)
        if (ψ ≠ false) φ = φ ∧ ψ
        else abort
    } else {
        a' = refine(a, π)
        if (a' ≠ a) a = a'
        else abort
    }
}
```

# AGS Algorithm – High Level

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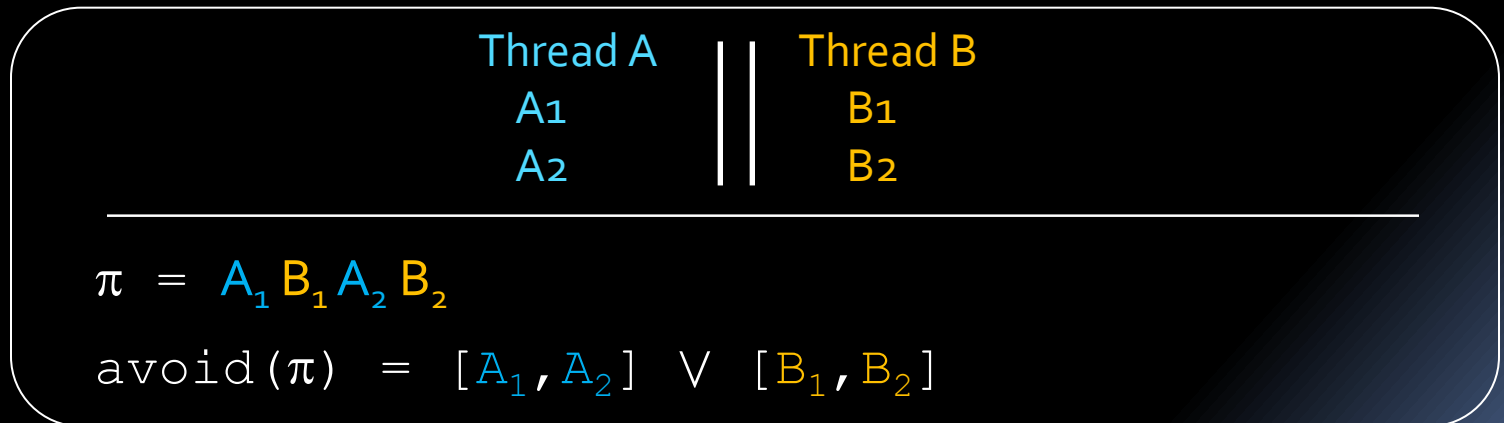
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        else abort

    }

}
```

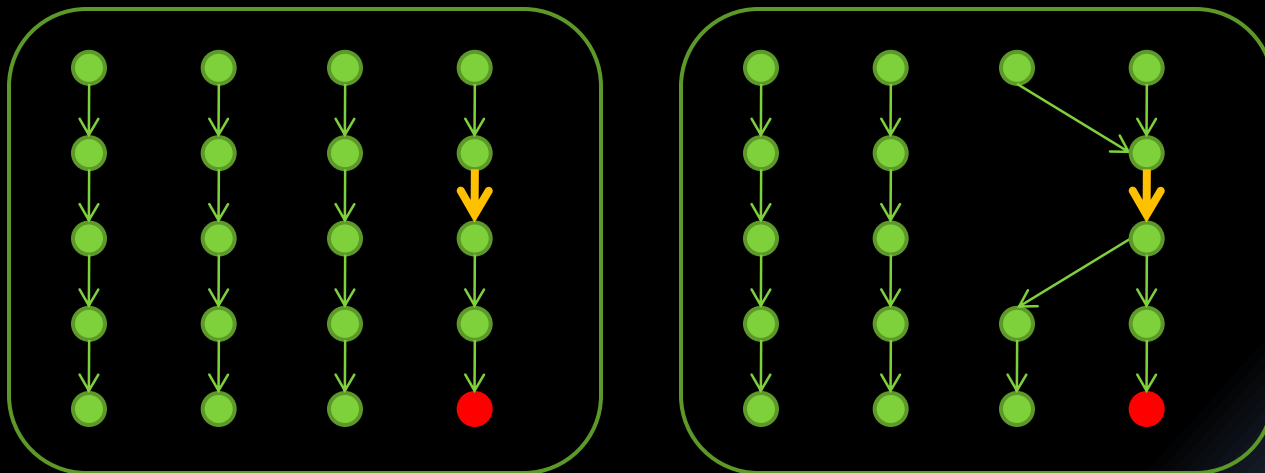
# Avoid interleaving with atomics

- Adding atomicity constraints
  - Atomicity predicate  $[l_1, l_2]$  – **no context switch allowed** between execution of statements at  $l_1$  and  $l_2$
- $\text{avoid}(\pi)$ 
  - A **disjunction** of all possible atomicity predicates that would prevent  $\pi$

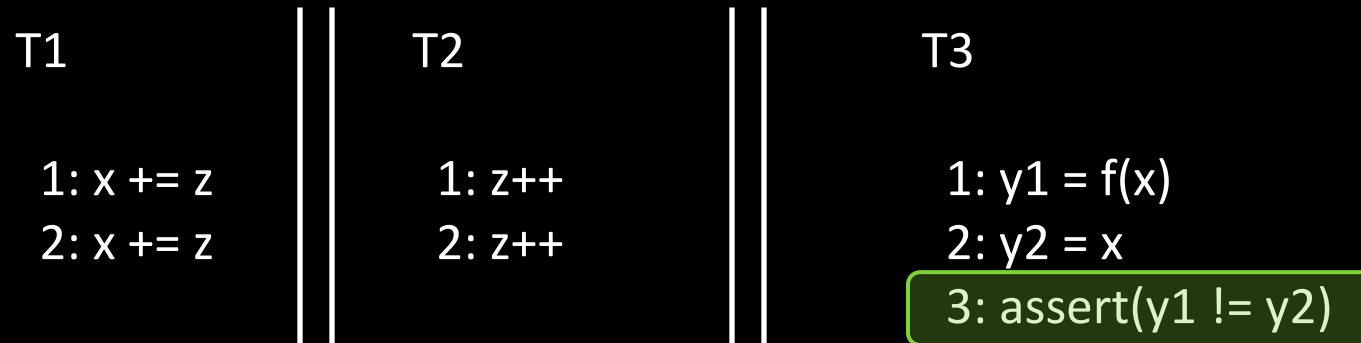


# Avoid and abstraction

- $\psi = \text{avoid}(\pi)$
- Enforcing  $\psi$  avoids any abstract trace  $\pi'$  such that  $\pi' \not\equiv \psi$
- Potentially avoiding “good traces”
- Abstraction may affect our ability to avoid a smaller set of traces

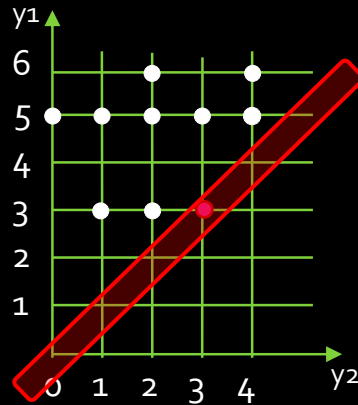


# Example



```
f(x) {  
  if (x == 1) return 3  
  else if (x == 2) return 6  
  else return 5  
}
```

# Example: Concrete Values



Concrete values

T1

1:  $x += z$   
2:  $x += z$

T2

1:  $z++$   
2:  $z++$

T3

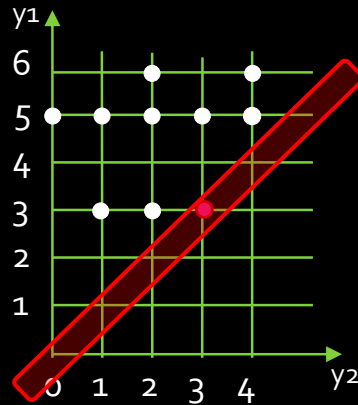
1:  $y_1 = f(x)$   
2:  $y_2 = x$   
3:  $\text{assert}(y_1 \neq y_2)$

$f(x)$  {

if ( $x == 1$ ) return 3  
else if ( $x == 2$ ) return 6  
else return 5

}

# Example: Concrete Values



`x += z; x += z; z++;z++;y1=f(x);y2=x;assert` → `y1=5,y2=0`

Concrete values

T1

1: `x += z`  
2: `x += z`

T2

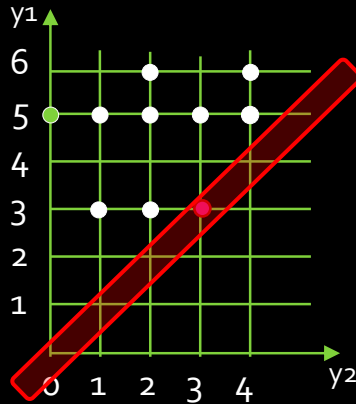
1: `z++`  
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T3

1: `y1 = f(x)`  
2: `y2 = x`  
3: `assert(y1 != y2)`

`f(x) {`  
    if (`x == 1`) return 3  
    else if (`x == 2`) return 6  
    else return 5  
`}`

# Example: Concrete Values



Concrete values

`x += z; x += z; z++;z++;y1=f(x);y2=x;assert` → `y1=5,y2=0`

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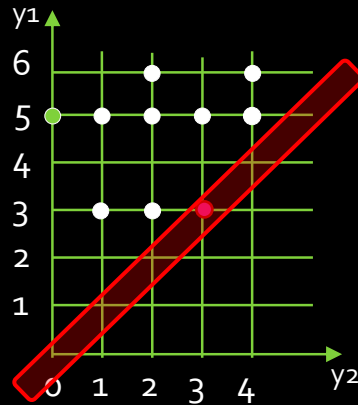
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`f(x) {`

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`}`

# Example: Concrete Values



Concrete values

`x += z; x += z; z++;z++;y1=f(x);y2=x;assert` → `y1=5,y2=0`

`z++; x+=z; y1=f(x); z++; x+=z; y2=x;assert` → `y1=3,y2=3`

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1: `z++`  
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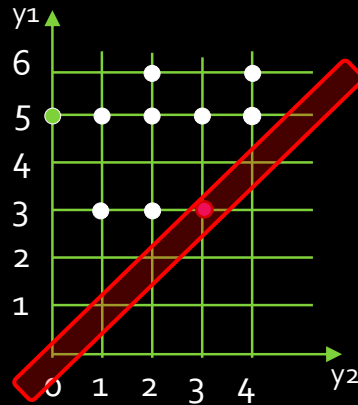
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if (`x == 1`) return 3  
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else return 5

`}`



# Example: Concrete Values



Concrete values

`x += z; x += z; z++;z++;y1=f(x);y2=x;assert` → `y1=5,y2=0`

`z++; x+=z; y1=f(x); z++; x+=z; y2=x;assert` → `y1=3,y2=3`

T1

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1: `z++`  
2: `z++`

T3

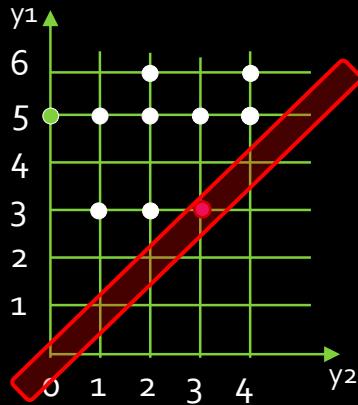
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`f(x) {`

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else if (`x == 2`) return 6  
else return 5

`}`

# Example: Concrete Values



Concrete values

$x += z; x += z; z++; z++; y1 = f(x); y2 = x; \text{assert} \rightarrow y1=5, y2=0$

$z++; x += z; y1 = f(x); z++; x += z; y2 = x; \text{assert} \rightarrow y1=3, y2=3$

⋮

T1

1:  $x += z$   
2:  $x += z$

T2

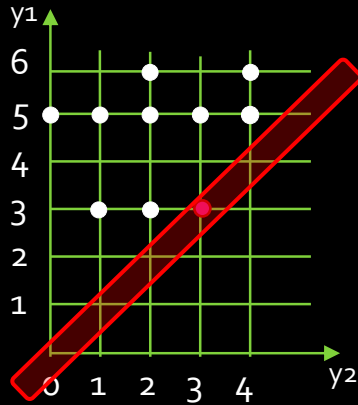
1:  $z++$   
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T3

1:  $y1 = f(x)$   
2:  $y2 = x$   
3:  $\text{assert}(y1 \neq y2)$

$f(x) \{$   
  if ( $x == 1$ ) return 3  
  else if ( $x == 2$ ) return 6  
  else return 5  
 $\}$

# Example: Parity Abstraction



Concrete values

T1

```
1: x += z
2: x += z
```

||

T2

```
1: z++
2: z++
```

||

T3

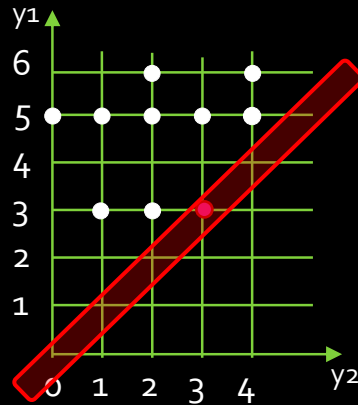
```
1: y1 = f(x)
2: y2 = x
3: assert(y1 != y2)
```

f(x) {

```
  if (x == 1) return 3
  else if (x == 2) return 6
  else return 5
```

}

# Example: Parity Abstraction



Concrete values

$x += z; x += z; z++; z++; y1 = f(x); y2 = x; \text{assert} \rightarrow y1 = \text{Odd}, y2 = \text{Even}$

T1

1:  $x += z$   
2:  $x += z$

T2

1:  $z++$   
2:  $z++$

T3

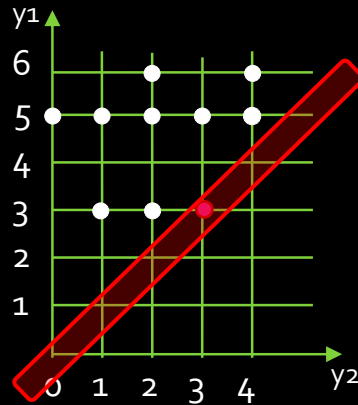
1:  $y1 = f(x)$   
2:  $y2 = x$   
3:  $\text{assert}(y1 \neq y2)$

$f(x)$  {

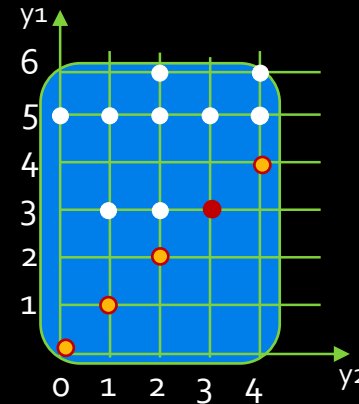
if ( $x == 1$ ) return 3  
else if ( $x == 2$ ) return 6  
else return 5

}

# Example: Parity Abstraction



Concrete values



Parity abstraction (even/odd)

$x += z; x += z; z++; z++; y1 = f(x); y2 = x; \text{assert} \rightarrow y1 = \text{Odd}, y2 = \text{Even}$

T1

1:  $x += z$   
2:  $x += z$

T2

1:  $z++$   
2:  $z++$

T3

1:  $y1 = f(x)$   
2:  $y2 = x$   
3:  $\text{assert}(y1 \neq y2)$

$f(x) \{$

if ( $x == 1$ ) return 3  
else if ( $x == 2$ ) return 6  
else return 5

$\}$

# Example: Avoiding Bad Interleavings

```
φ = true
while(true) {
    BadTraces={ $\pi \mid \pi \in (\llbracket P \rrbracket_a \cap \llbracket \phi \rrbracket)$  and
                 $\pi \not\models S$  }
    if (BadTraces is empty)
        return implement(P, φ)
    select  $\pi \in$  BadTraces
    if (?) {
        φ = φ ∧ avoid( $\pi$ )
    } else {
        a = refine(a,  $\pi$ )
    }
}
```

φ = true

# Example: Avoiding Bad Interleavings

```
φ = true
while(true) {
  BadTraces={ $\pi \mid \pi \in (\llbracket P \rrbracket_a \cap \llbracket \varphi \rrbracket)$  and  

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  if (BadTraces is empty)
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  select  $\pi \in$  BadTraces
  if (?) {
    φ = φ ∧ avoid( $\pi$ )
  } else {
    a = refine(a,  $\pi$ )
  }
}
```

φ = true

# Example: Avoiding Bad Interleavings

$\phi = \text{true}$

while(true) {

$\text{BadTraces} = \{ \pi \mid \pi \in ([P]_a \cap [\phi]) \text{ and } \pi \not\models S \}$

if (BadTraces is empty)

return implement(P,  $\phi$ )

select  $\pi \in \text{BadTraces}$

if (?) {

$\phi = \phi \wedge \text{avoid}(\pi)$

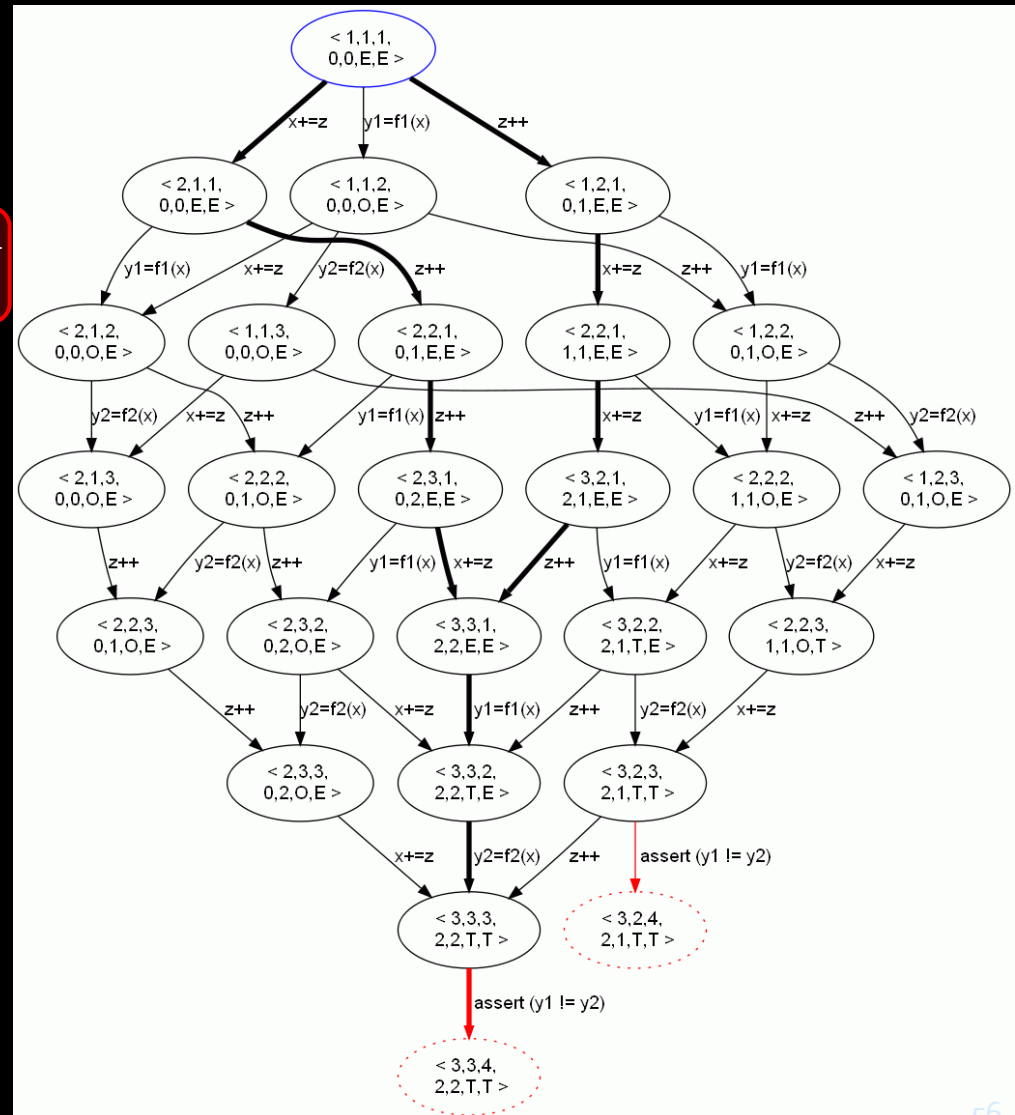
} else {

$a = \text{refine}(a, \pi)$

}

}

$\phi = \text{true}$





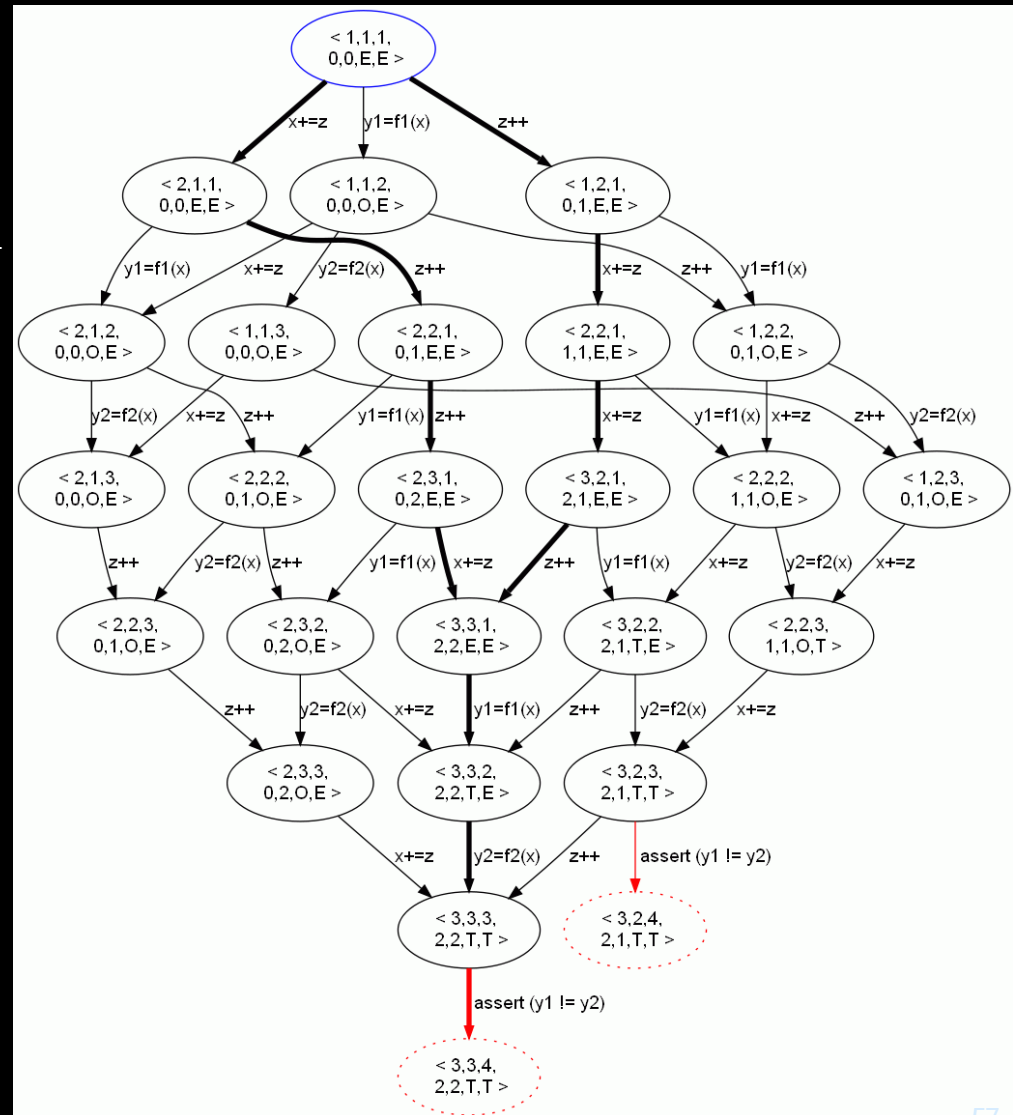
# Example: Avoiding Bad Interleavings

```

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while(true) {
  BadTraces = { $\pi \mid \pi \in ([P]_a \cap [\phi])$  and  $\pi \not\models S$ }
  if (BadTraces is empty)
    return implement(P,  $\phi$ )
  select  $\pi \in \text{BadTraces}$ 
  if (?) {
     $\phi = \phi \wedge \text{avoid}(\pi)$ 
  } else {
     $a = \text{refine}(a, \pi)$ 
  }
}

```

$\phi = \text{true}$



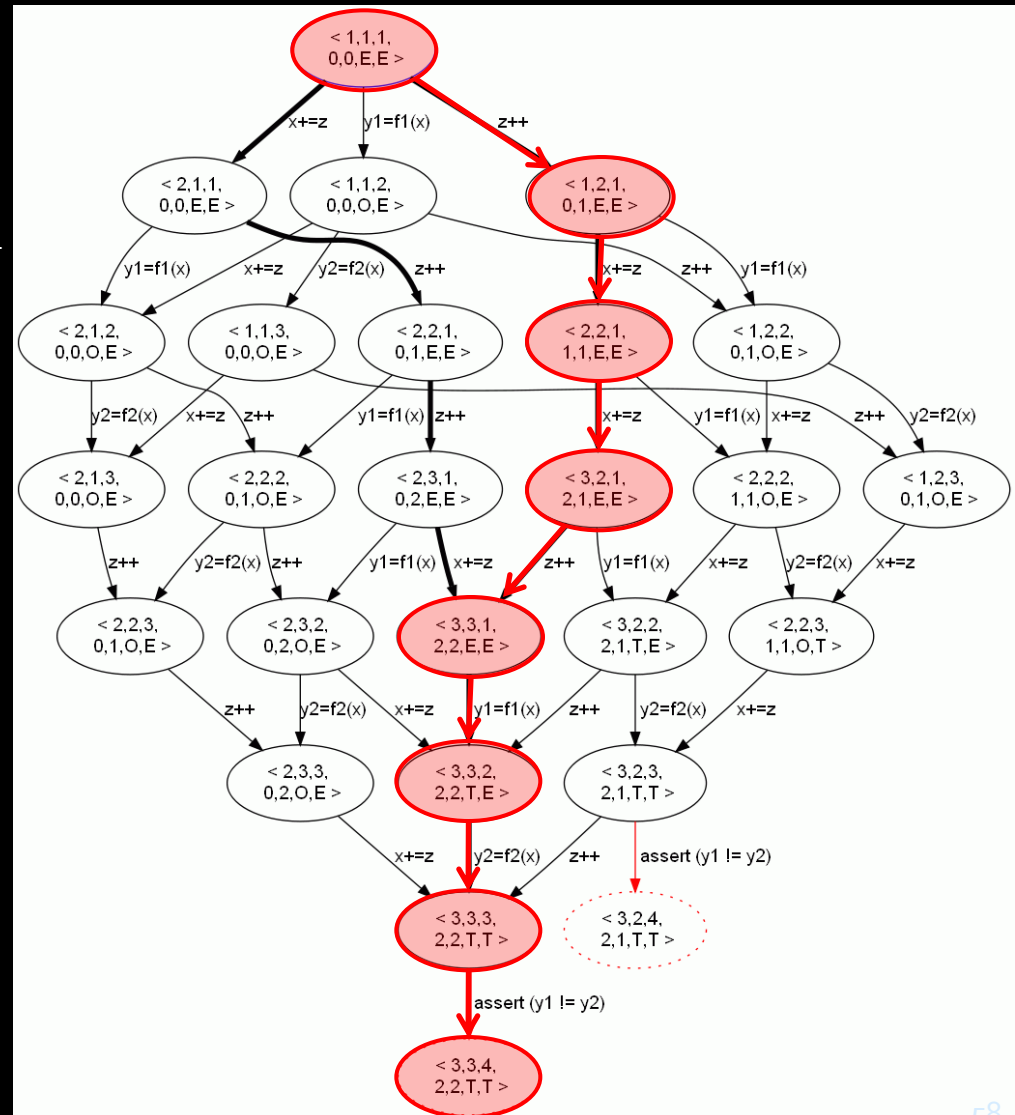
# Example: Avoiding Bad Interleavings

```

 $\varphi = \text{true}$ 
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  }
}

```

$\varphi = \text{true}$



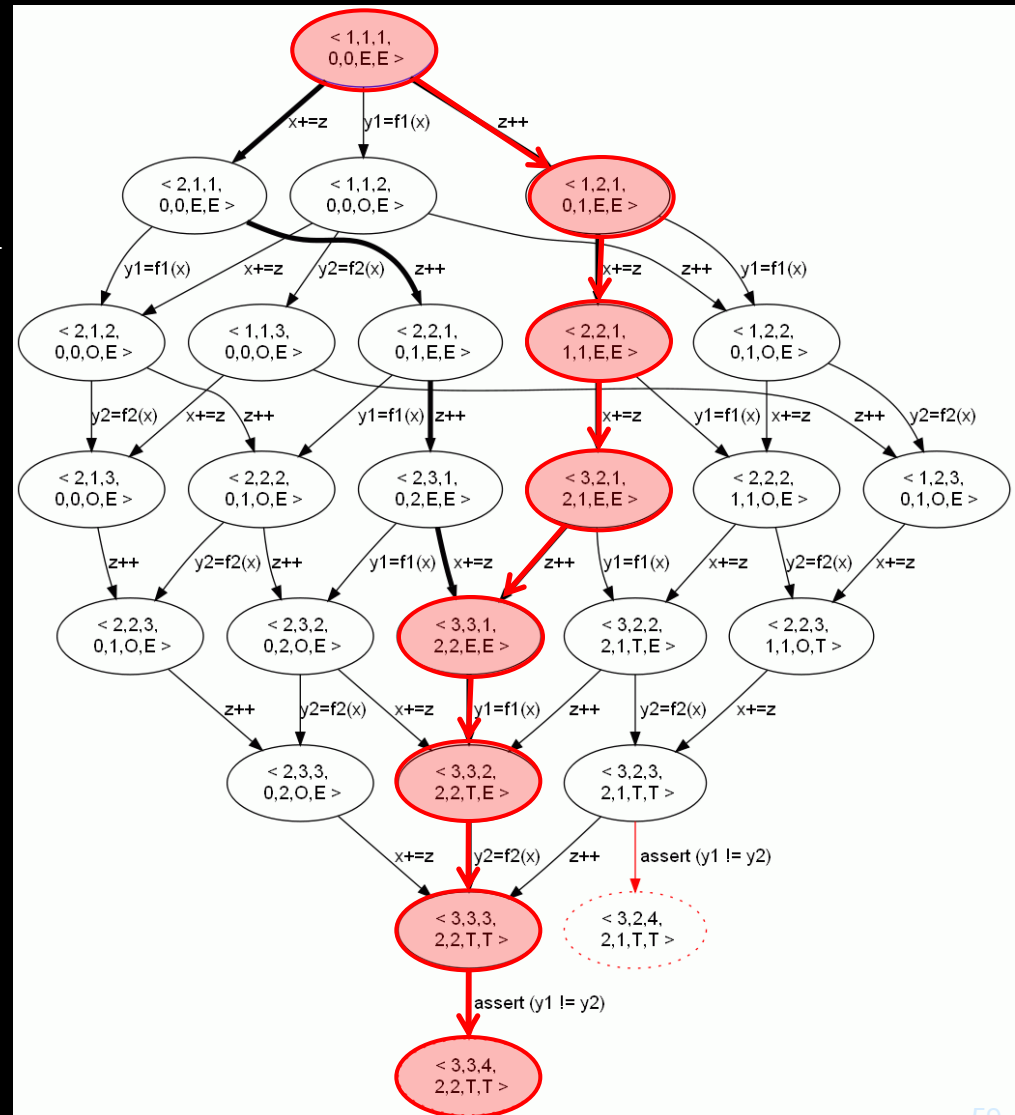
# Example: Avoiding Bad Interleavings

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}

```

$\varphi = \text{true}$



# Example: Avoiding Bad Interleavings

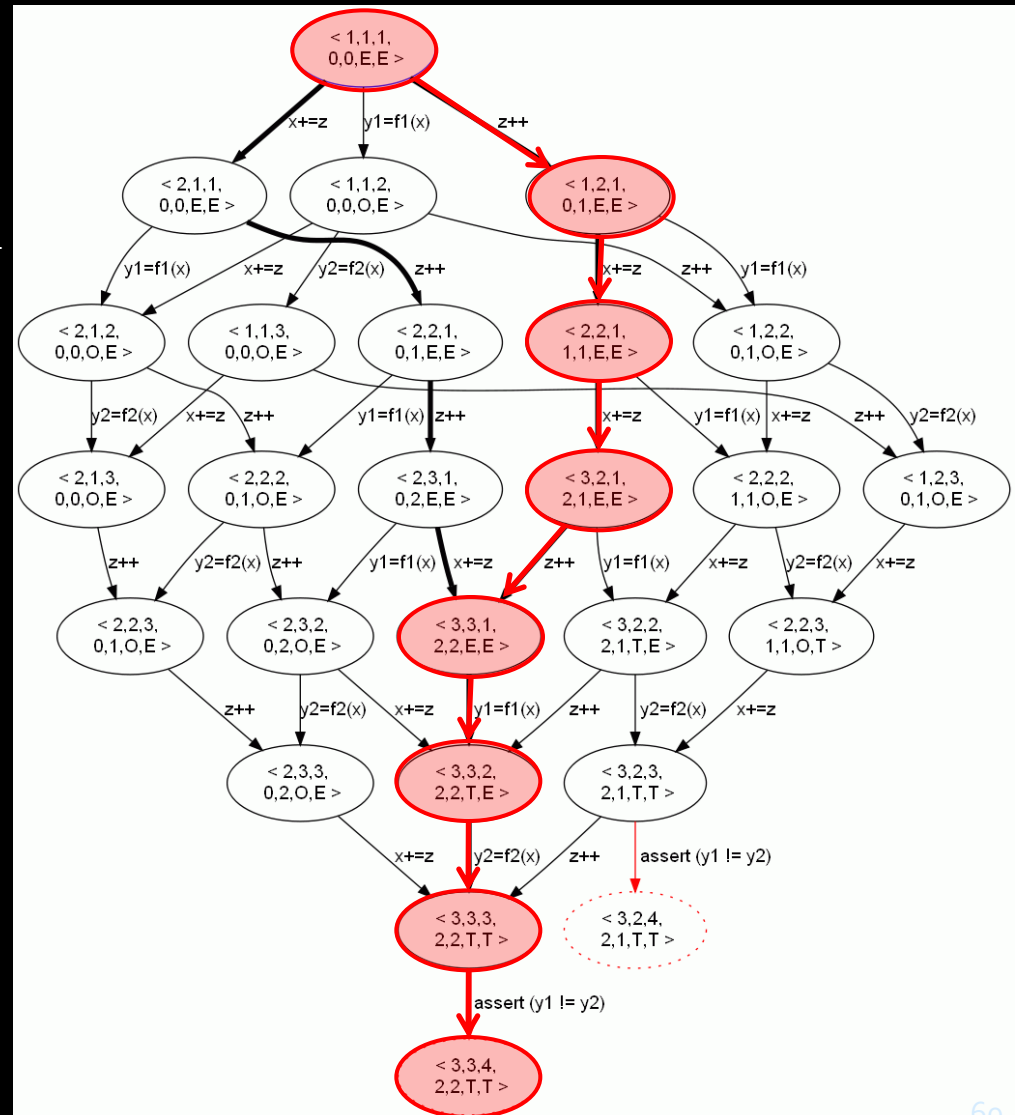
```

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while(true) {
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  }
}

```

$\text{avoid}(\pi_1) = [z++, z++]$

$\varphi = \text{true}$



# Example: Avoiding Bad Interleavings

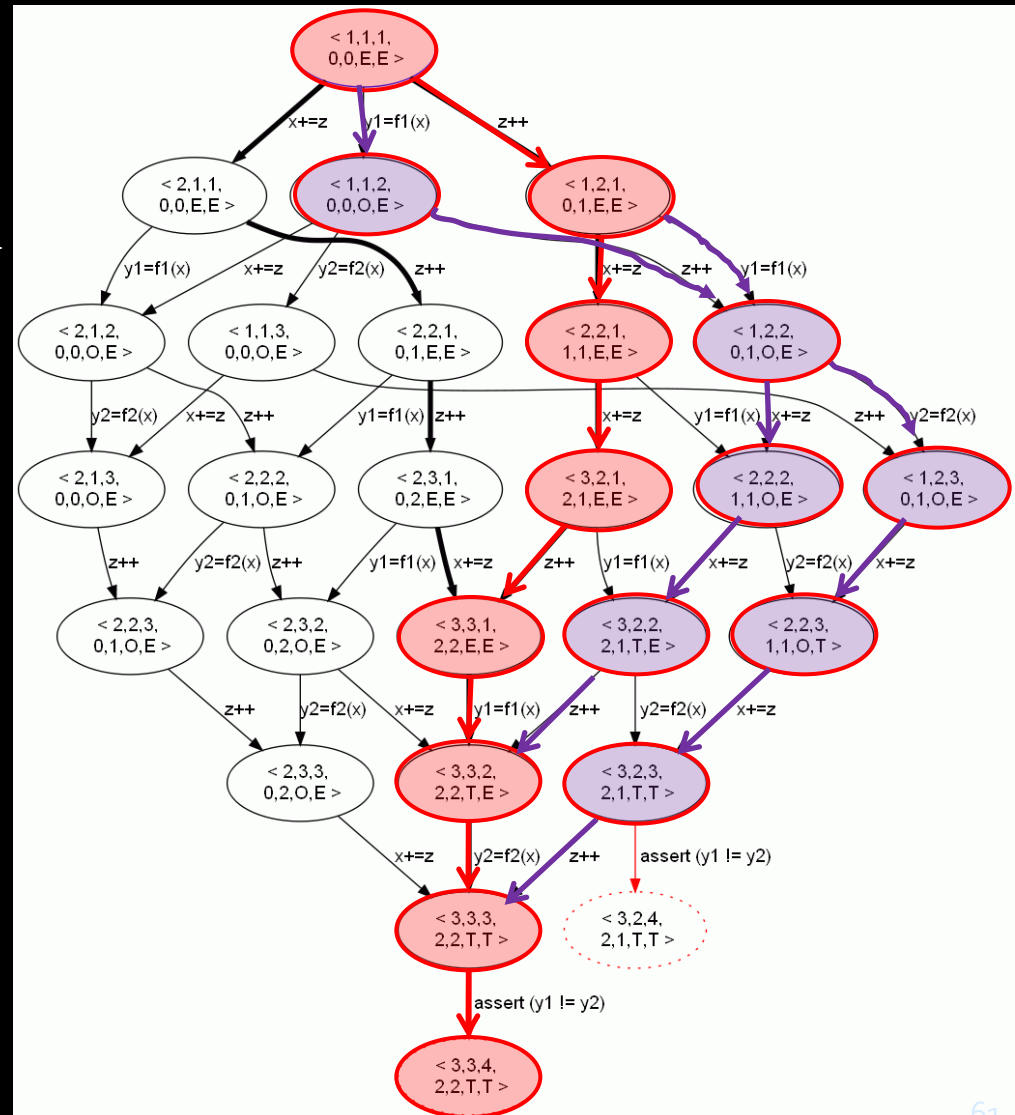
```

 $\varphi = \text{true}$ 
while(true) {
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}

```

$\text{avoid}(\pi_1) = [z++, z++]$

$\varphi = [z++, z++]$



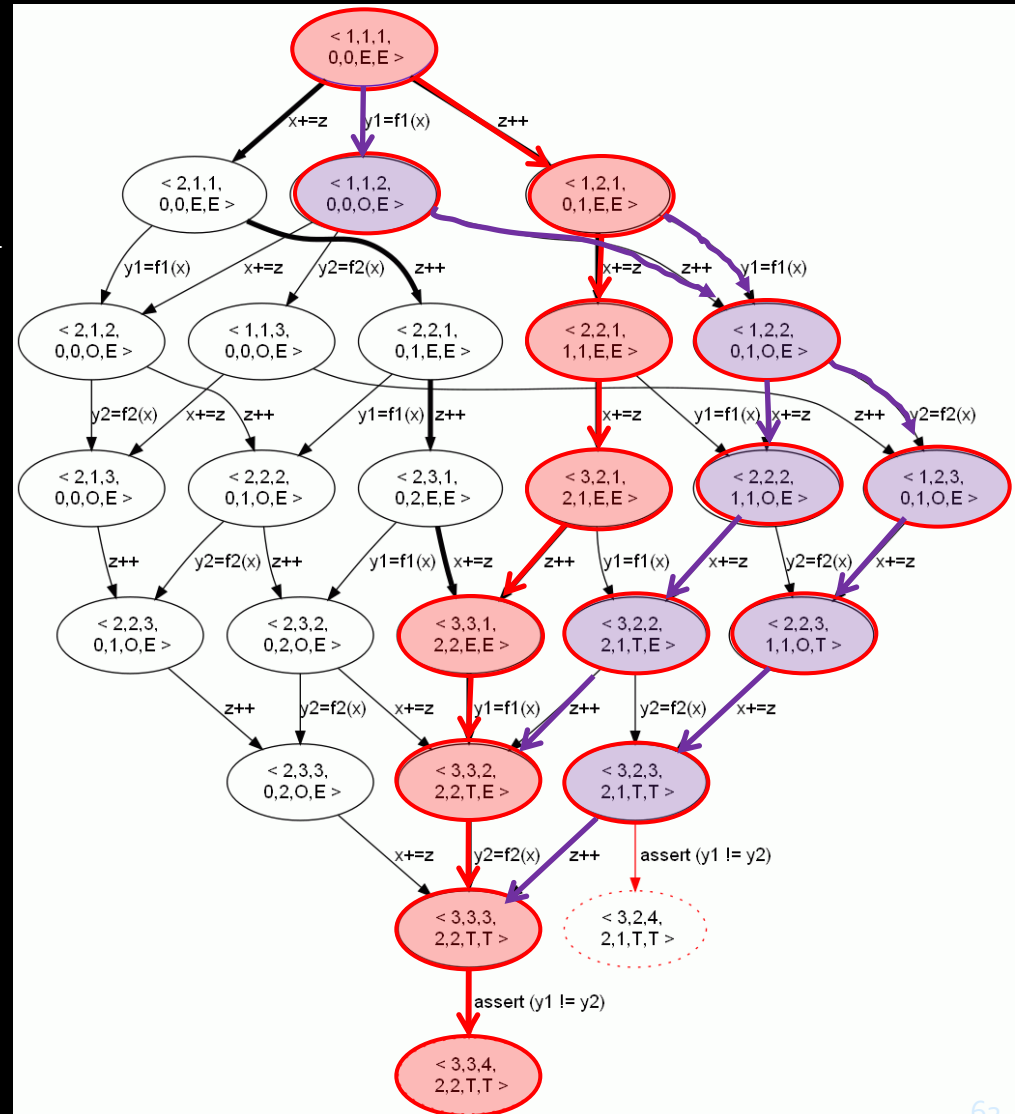
# Example: Avoiding Bad Interleavings

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  }
}

```

$\varphi = [z++, z++]$



# Example: Avoiding Bad Interleavings

$\varphi = \text{true}$

while(true) {

BadTraces =  $\{\pi \mid \pi \in ([P]_a \cap [\varphi]) \text{ and } \pi \not\models S\}$

if (BadTraces is empty)

    return implement(P,  $\varphi$ )

select  $\pi \in \text{BadTraces}$

if (?) {

$\varphi = \varphi \wedge \text{avoid}(\pi)$

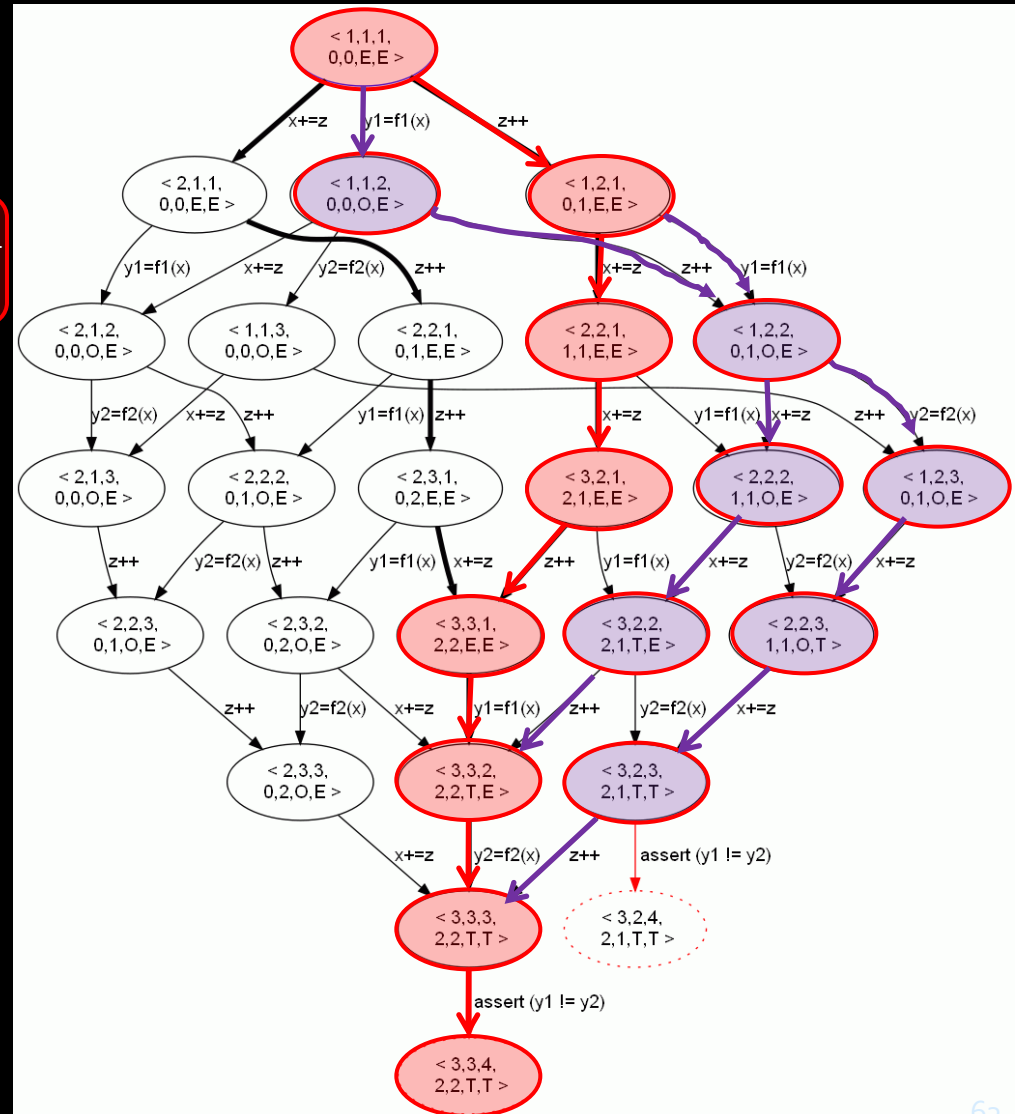
} else {

$a = \text{refine}(a, \pi)$

}

}

$\varphi = [z++, z++]$



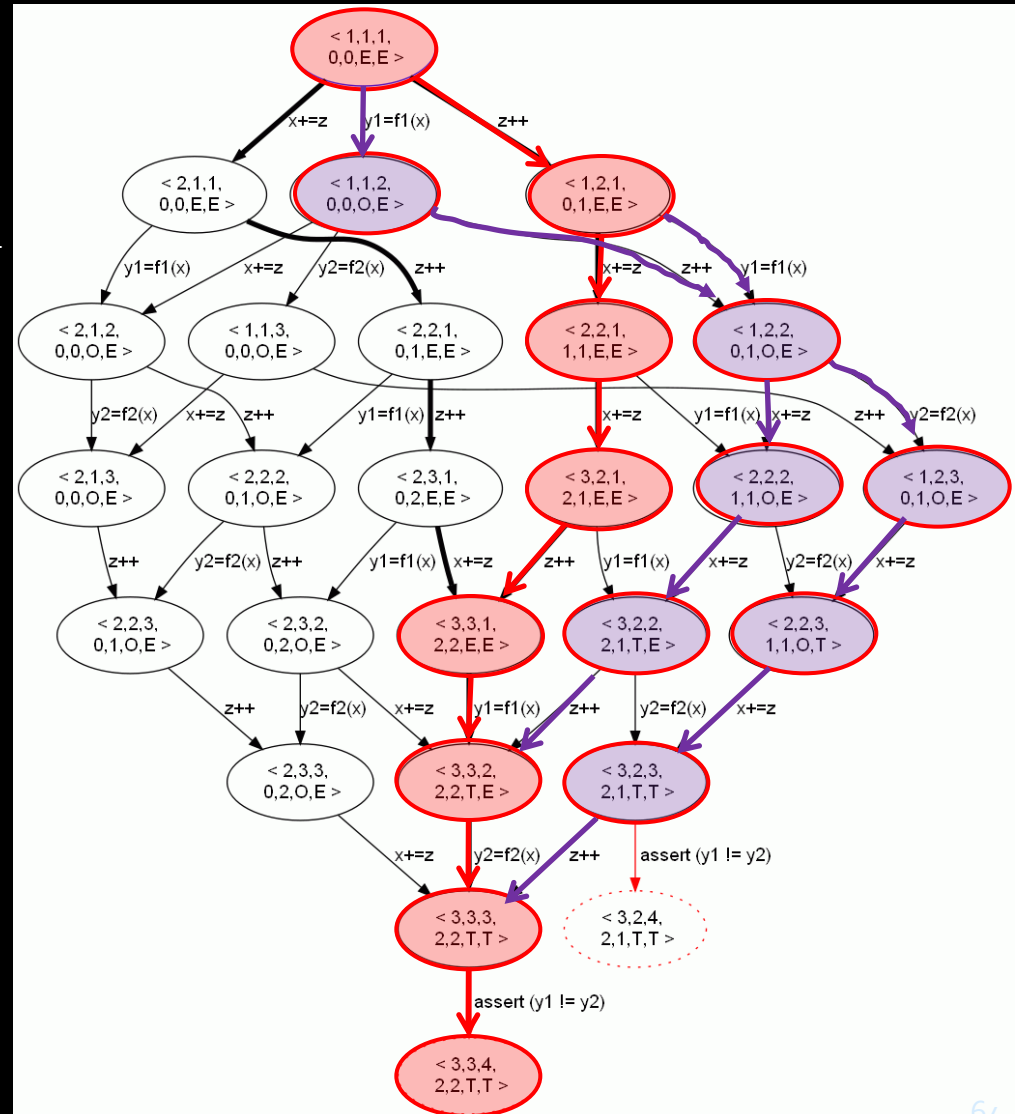
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  } else {
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  }
}

```

$\varphi = [z++, z++]$





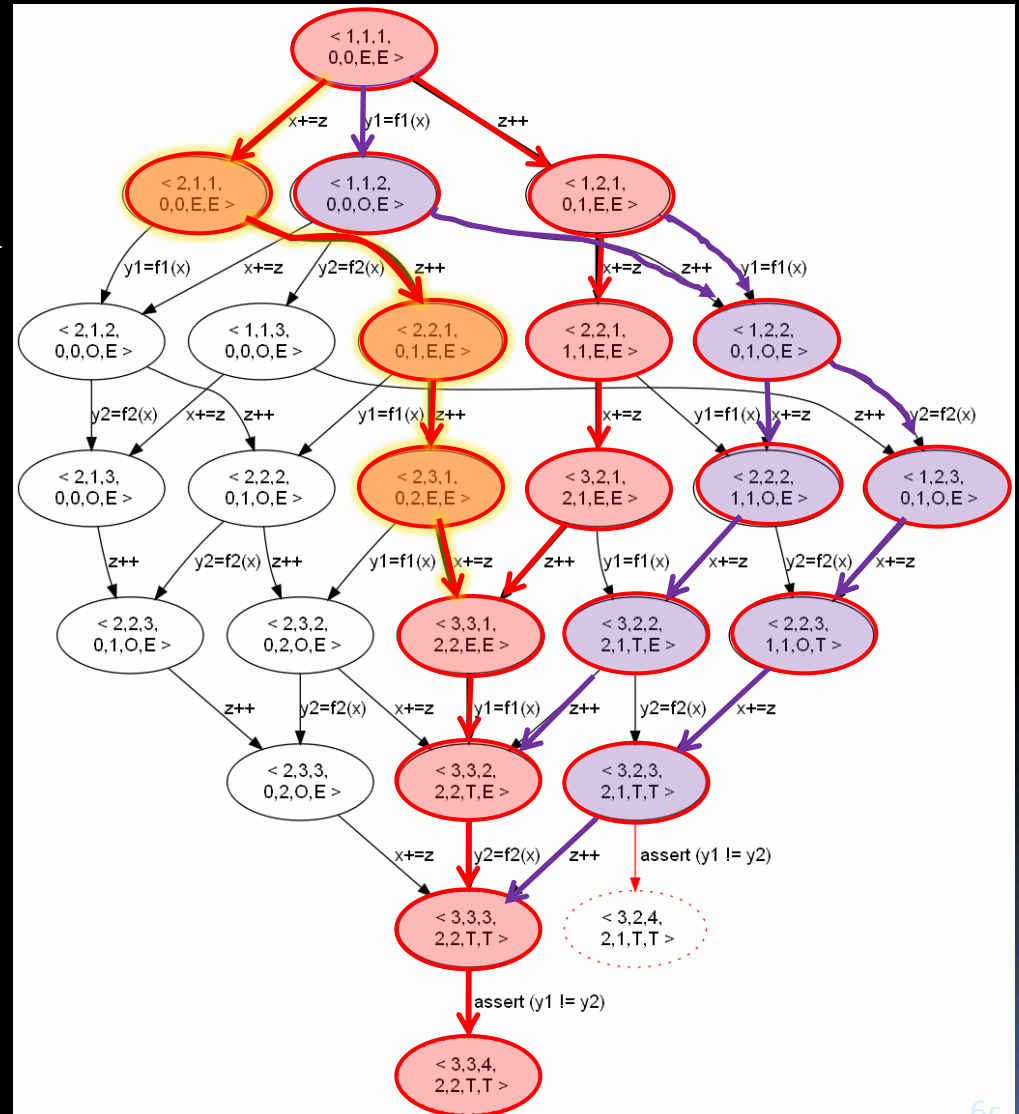
# Example: Avoiding Bad Interleavings

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  }
}

```

$\varphi = [z++, z++]$



# Example: Avoiding Bad Interleavings

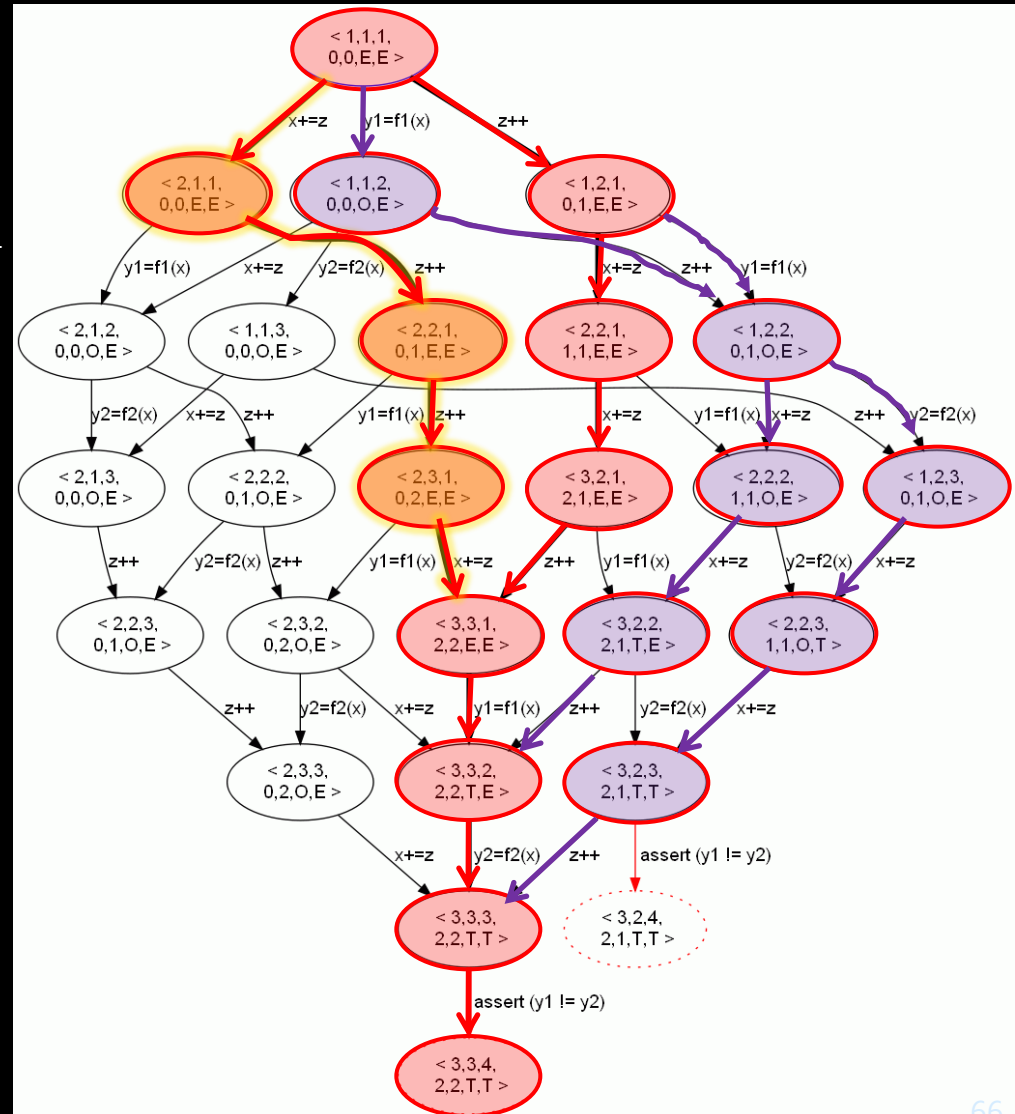
```

 $\varphi = \text{true}$ 
while(true) {
  BadTraces = { $\pi \mid \pi \in ([P]_a \cap [\varphi])$  and  $\pi \not\models S$ }
  if (BadTraces is empty)
    return implement(P,  $\varphi$ )
  select  $\pi \in \text{BadTraces}$ 
  if (?) {
     $\varphi = \varphi \wedge \text{avoid}(\pi)$ 
  } else {
     $a = \text{refine}(a, \pi)$ 
  }
}

```

$\text{avoid}(\pi_2) = [x+=z, x+=z]$

$\varphi = [z++, z++]$



# Example: Avoiding Bad Interleavings

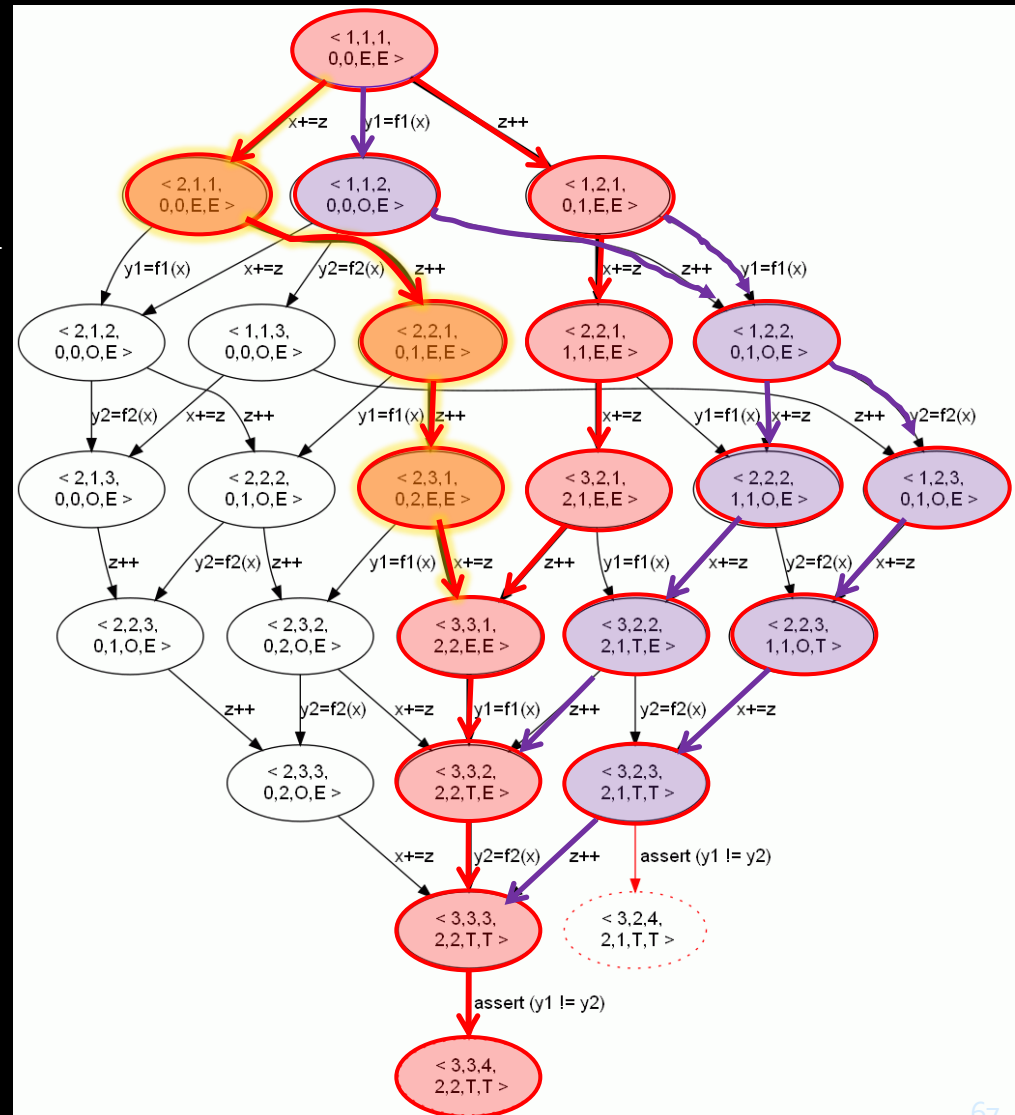
```

 $\varphi = \text{true}$ 
while(true) {
  BadTraces = { $\pi \mid \pi \in ([P]_a \cap [\varphi])$  and  $\pi \not\models S$ }
  if (BadTraces is empty)
    return implement(P,  $\varphi$ )
  select  $\pi \in \text{BadTraces}$ 
  if (?) {
     $\varphi = \varphi \wedge \text{avoid}(\pi)$ 
  } else {
     $a = \text{refine}(a, \pi)$ 
  }
}

```

$\text{avoid}(\pi_2) = [x+=z, x+=z]$

$\varphi = [z++, z++] \wedge [x+=z, x+=z]$



# Example: Avoiding Bad Interleavings

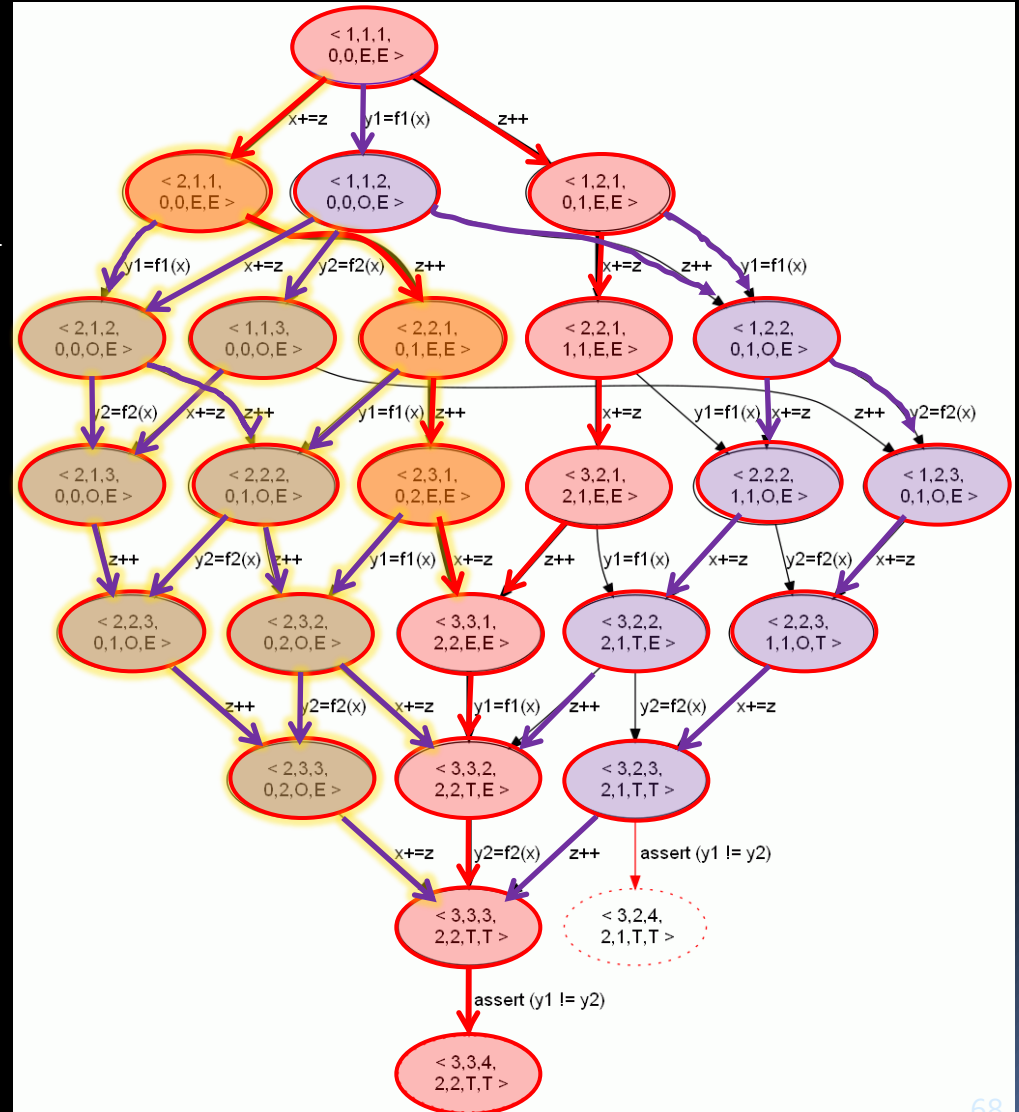
```

 $\varphi = \text{true}$ 
while(true) {
  BadTraces = { $\pi \mid \pi \in ([P]_a \cap [\varphi])$  and  $\pi \not\models S$ }
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  select  $\pi \in \text{BadTraces}$ 
  if (?) {
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  } else {
     $a = \text{refine}(a, \pi)$ 
  }
}

```

$\text{avoid}(\pi_2) = [x+=z, x+=z]$

$\varphi = [z++, z++] \wedge [x+=z, x+=z]$



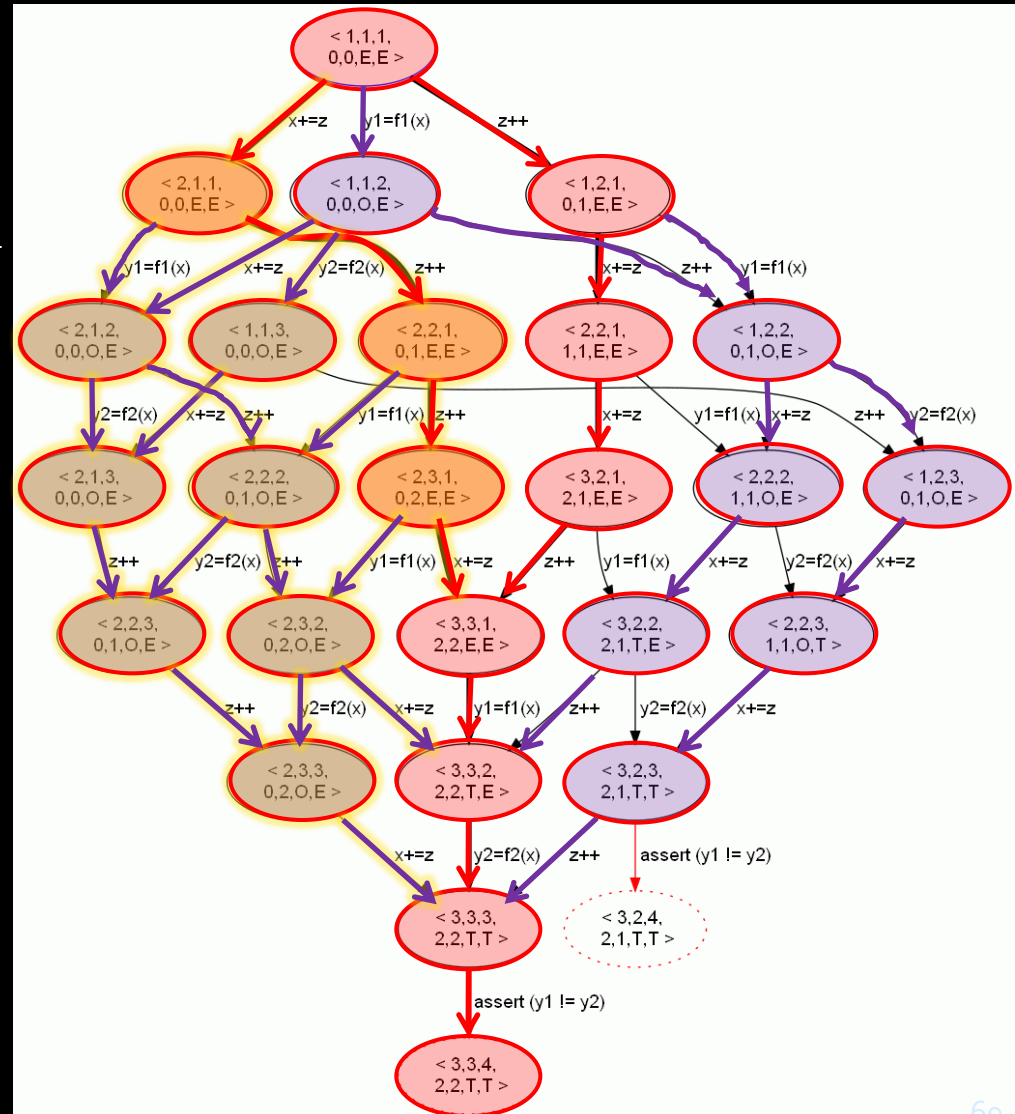
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  }
}

```

$\varphi = [z++, z++] \wedge [x+=z, x+=z]$



# Example: Avoiding Bad Interleavings

```
φ = true
while(true) {
    BadTraces={ $\pi \mid \pi \in (\llbracket P \rrbracket_a \cap \llbracket \varphi \rrbracket)$  and
                 $\pi \not\models S$  }
    if (BadTraces is empty)
        return implement(P, φ)
    select  $\pi \in$  BadTraces
    if (?) {
        φ = φ ∧ avoid( $\pi$ )
    } else {
        a = refine(a,  $\pi$ )
    }
}
```

$\varphi = [z++, z++] \wedge [x+=z, x+=z]$

# Example: Avoiding Bad Interleavings

```
φ = true
while(true) {
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    if (?) {
         $\phi = \phi \wedge \text{avoid}(\pi)$ 
    } else {
         $a = \text{refine}(a, \pi)$ 
    }
}
```

T1

```
[ 1: x += z
  2: x += z
```

T2

```
[ 1: z++
  2: z++
```

T3

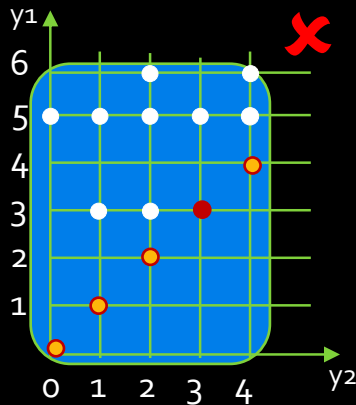
```
1: y1 = f(x)
2: y2 = x
3: assert(y1 != y2)
```

$\phi = [z++, z++] \wedge [x += z, x += z]$

# Example: Avoiding Bad Interleavings

parity

T1  $x+=z;$   
 $x+=z$   
T2  $z++;$   
 $z++;$   
T3  $y1=f(x)$   
 $y2=x$   
assert  
 $y1!=y2$

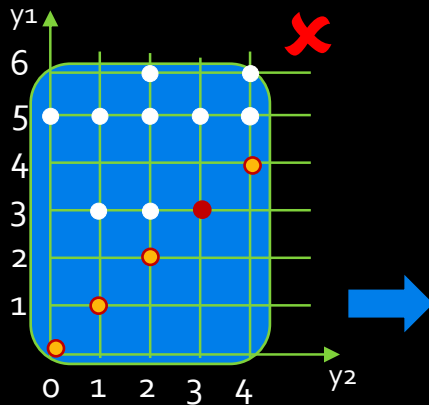




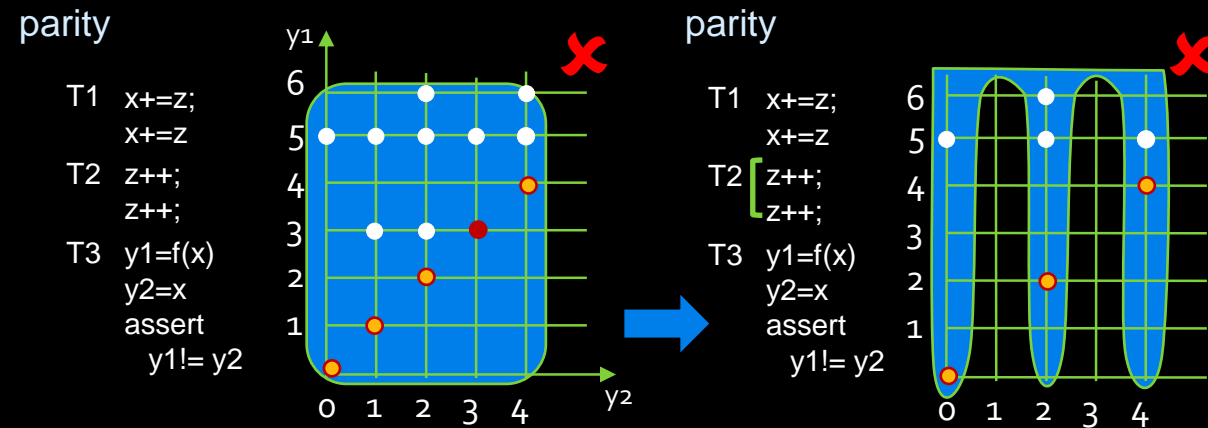
# Example: Avoiding Bad Interleavings

parity

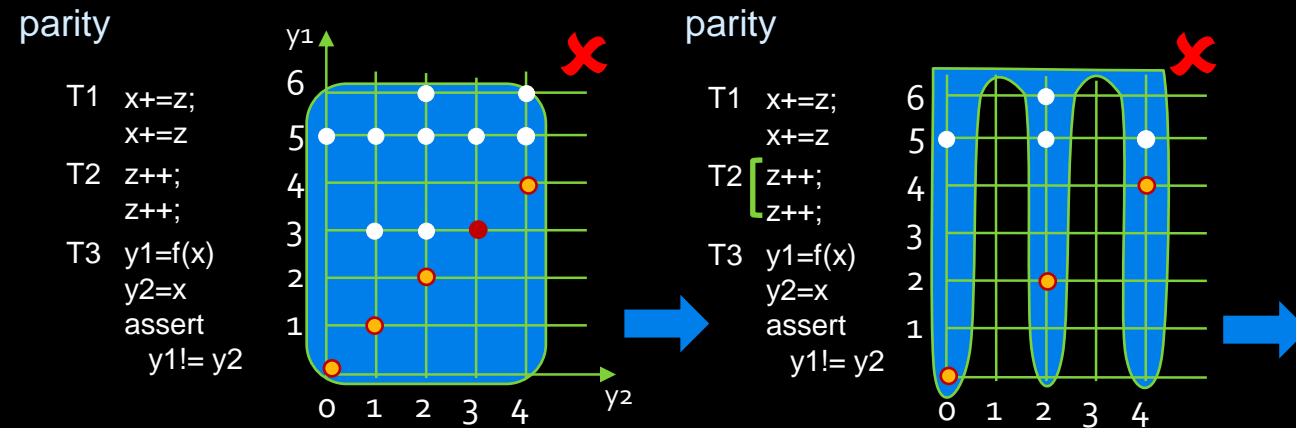
T1  $x+=z;$   
 $x+=z$   
T2  $z++;$   
 $z++;$   
T3  $y1=f(x)$   
 $y2=x$   
assert  
 $y1 \neq y2$



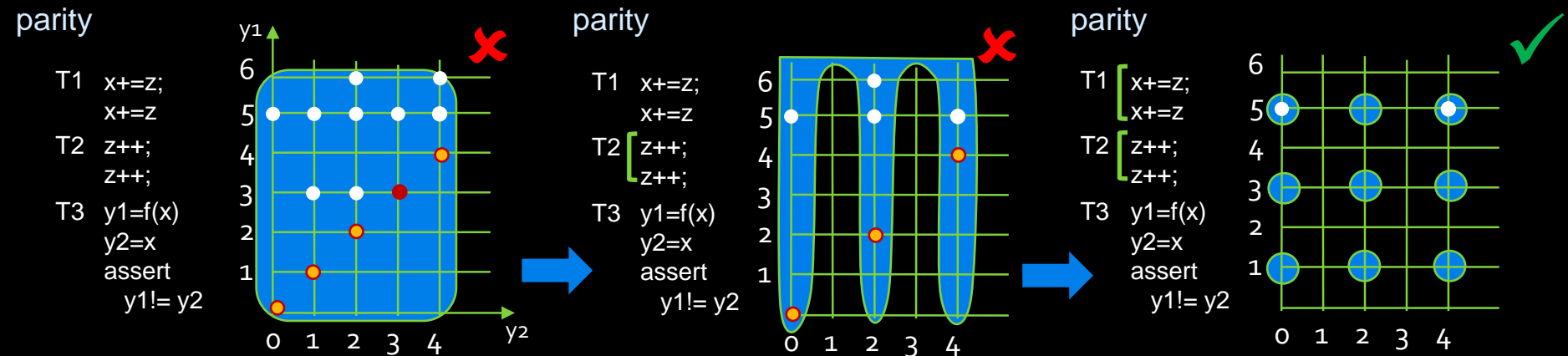
# Example: Avoiding Bad Interleavings



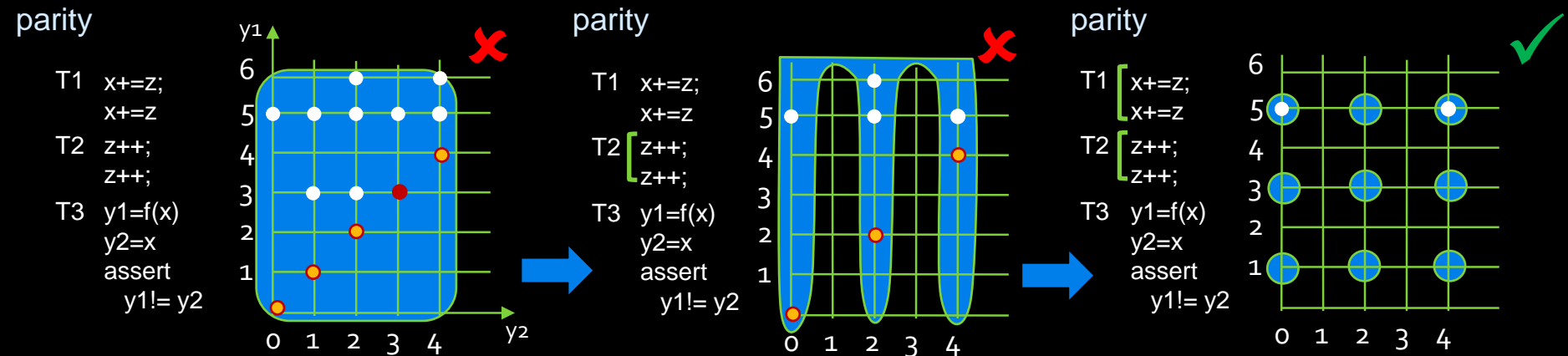
# Example: Avoiding Bad Interleavings



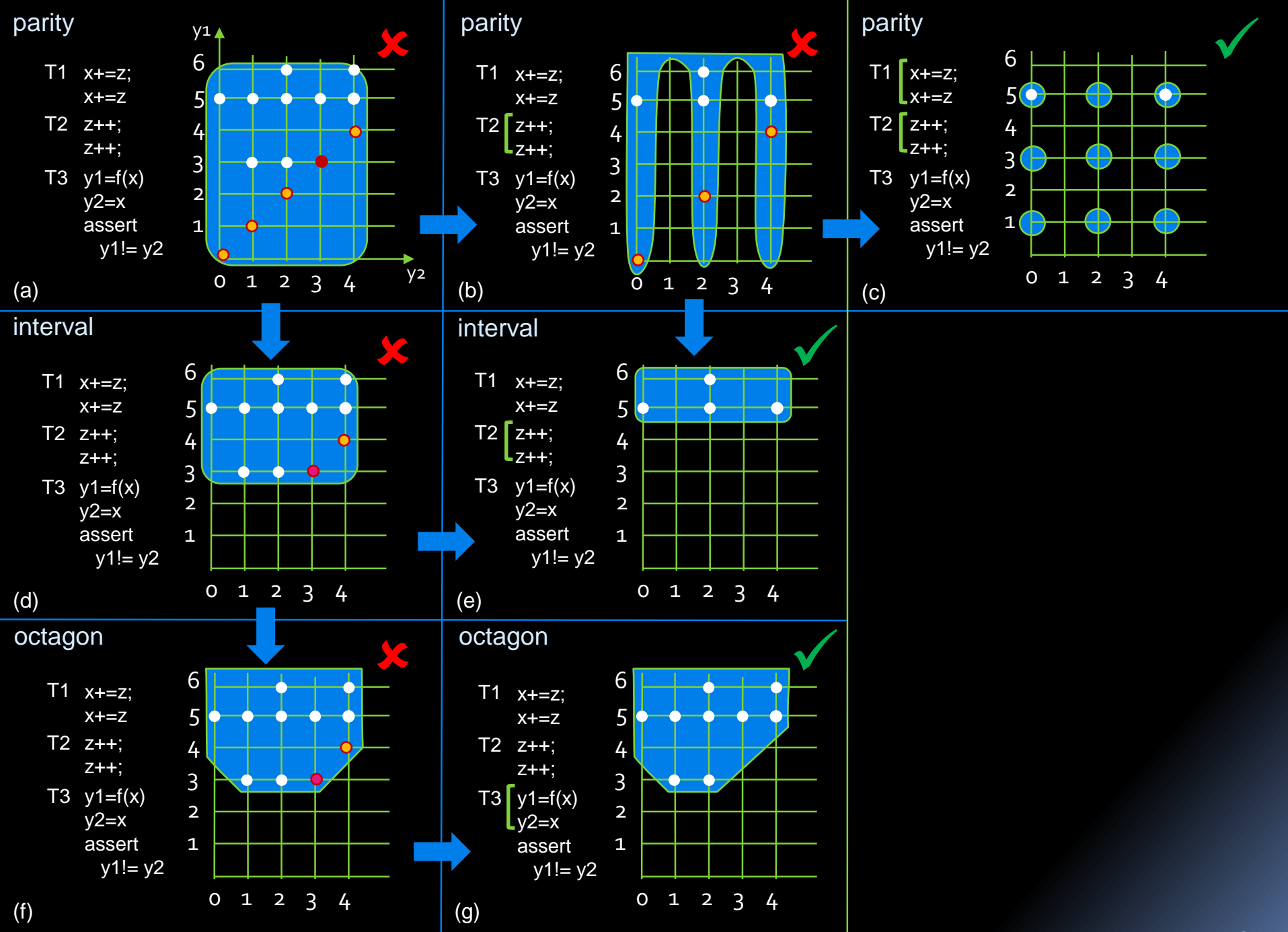
# Example: Avoiding Bad Interleavings



# Example: Avoiding Bad Interleavings



But we can also refine the abstraction...



# Multiple Solutions

- Performance: smallest atomic sections
- Interval abstraction for our example produces the atomicity constraint:

$$([x+=z, x+=z] \vee [z++, z++]) \\ \wedge ([y1=f(x), y2=x] \vee [x+=z, x+=z] \vee [z++, z++])$$

- **Minimal satisfying assignments**
  - $\Gamma_1 = [z++, z++]$
  - $\Gamma_2 = [x+=z, x+=z]$

# AGS Algorithm – More Details

**Input:** Program  $P$ , Specification  $S$ , Abstraction  $a$

**Output:** Program  $P'$  satisfying  $S$  under  $a$

Order of  
selection  
matters

```
 $\varphi = \text{true}$ 
while(true) {

    BadTraces =  $\{\pi \mid \pi \in (\llbracket P \rrbracket_a \cap \llbracket \varphi \rrbracket) \text{ and } \pi \not\models S\}$ 
    if (BadTraces is empty) return implement( $P, \varphi$ )
    select  $\pi \in \text{BadTraces}$ 
    if (?) {
         $\psi = \text{avoid}(\pi)$ 
        if ( $\psi \neq \text{false}$ )  $\varphi = \varphi \wedge \psi$ 
        else abort
    } else {
         $a' = \text{refine}(a, \pi)$ 
        if ( $a' \neq a$ )  $a = a'$ 
        else abort
    }
}
```



# AGS Algorithm – More Details

**Input:** Program  $P$ , Specification  $S$

**Output:** Program  $P'$  satisfying  $S$

Forward Abstract Interpretation, taking  $\phi$  into account for pruning infeasible interleavings

```
 $\phi = \text{true}$ 
while(true) {
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  }
}
```

# AGS Algorithm – More Details

**Input:** Program  $P$ , Specification  $S$ , Abstraction  $a$

**Output:** Program  $P'$  satisfying  $S$  under  $a$

```
φ = true
while(true) {
    BadTraces = {π | π ∈ ([[P]]a ∩ [[φ]]) and π ⊈ S }
    if (BadTraces is empty) return implement(P, φ)
    select π ∈ BadTraces
    if (?) {
        ψ = avoid(π)
        if (ψ ≠ false) φ = φ ∧ ψ
        else abort
    } else {
        a' = refine(a, π)
        if (a' ≠ a) a = a'
        else abort
    }
}
```

Backward exploration of invalid Interleavings using  $\phi$  to prune infeasible interleavings.

# AGS Algorithm – More Details

**Input:** Program  $P$ , Specification  $S$ , Abstraction  $a$

**Output:** Program  $P'$  satisfying  $S$  under  $a$

```
φ = true
while(true) {

    BadTraces = {π | π ∈ ([[P]]a ∩ [[φ]]) and π ⊈ S }

    if (BadTraces is empty) return implement(P, φ)

    select π ∈ BadTraces

    if (??) {
        ψ = avoid(π)
        if (ψ ≠ false) φ = φ ∧ ψ
        else abort
    } else {
        a' = refine(a, π)
        if (a' ≠ a) a = a'
        else abort
    }

}
```

Choosing between abstraction refinement and program restriction  
- not always possible to refine/avoid  
- may try and backtrack

# AGS Algorithm – More Details

**Input:** Program  $P$ , Specification  $S$ , Abstraction  $a$

**Output:** Program  $P'$  satisfying  $S$  under  $a$

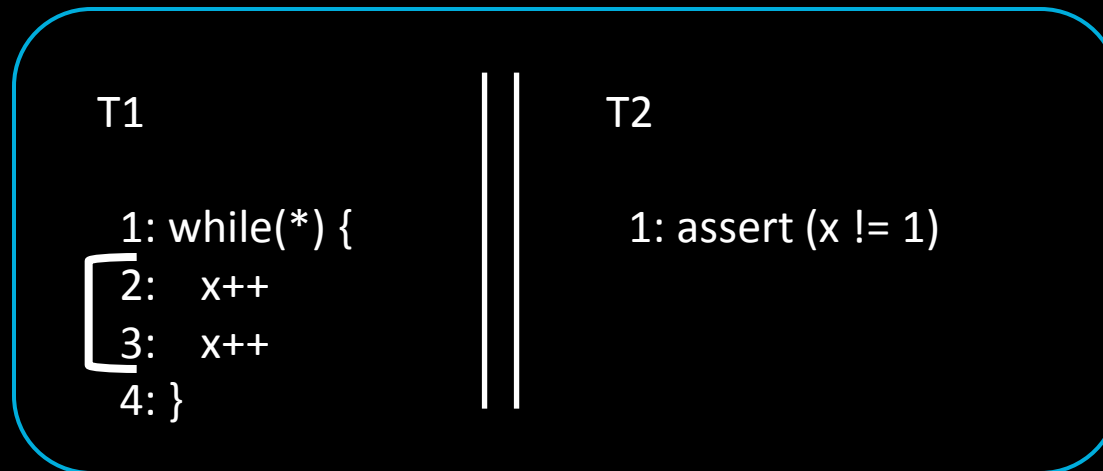
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    select π ∈ BadTraces
    if (?) {
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        if (ψ ≠ false) φ = φ ∧ ψ
        else abort
    } else {
        a' = refine(a, π)
        if (a' ≠ a) a = a'
        else abort
    }
}
```

Up to this point did not commit to a synchronization mechanism

# Implementability

- Separation between schedule constraints and how they are realized
  - Can realize in program: atomic sections, locks,...
  - Can realize in scheduler: benevolent scheduler



- No program transformations (e.g., loop unrolling)